



REQUEST FOR QUALIFICATIONS

Project No. 19-013-F

CONSTRUCTION MANAGEMENT AND INSPECTION SERVICES

REQUEST FOR QUALIFICATIONS (RFQ) RELEASE DATE:

July 11, 2019

PRE-PROPOSAL MEETING AND SITE VISIT:

Date: July 30, 2019

Time: 9:00 a.m., Pacific Time (PST)

Location: 11784 Bay Ridge Drive
Burlington, WA 98233

QUESTIONS/CLARIFICATION DEADLINE:

Date: August 7, 2019

Time: 3:00 p.m. (PST)

SUBMITTAL DEADLINE:

Date: September 4, 2019

Time: 3:00 p.m. (PST)

CONTACT PERSON:

Elisa Rizzo, Procurement and Contracts Coordinator
(360) 757-1378 erizzo@skagittransit.org

LEGAL ADVERTISEMENT

REQUEST FOR QUALIFICATIONS (RFQ) CONSTRUCTION MANAGEMENT AND INSPECTION SERVICES - PROJECT #19-013-F

SKAGIT TRANSIT

Skagit Transit, the public transportation provided in Skagit County, is seeking Statement of Qualifications from consulting firms with expertise and experience in Construction Management and Inspection Services. The selected firm will provide construction oversight for Skagit Transit's newly acquired facility "MOA II". Phase I will complete the energy envelope of the south and east section of the facility, the energy envelope includes insulation, roofing and siding. Utilities will be rough-in and ready for the next phase of the work. The entire project is located at 11784 Bay Ridge Drive, Burlington, WA 98233.

Solicitation documents for this project are available for down loading from our website at www.skagittransit.org under "About Us" in the Procurement section. It is the Consultant's responsibility to contact Elisa Rizzo to be added to the bid list @ erizzo@skagittransit.org. All addenda will be posted at www.skagittransit.org.

Interested Proposers are encouraged to attend an optional pre-proposal meeting and site visit July 30, 2019 at 9:00 a.m. (PST), at the Skagit Transit, 11784 Bay Ridge Drive, Burlington, WA 98233.

Submittals are due no later than 3:00 p.m. (PST) on September 4, 2019.

This project is funded in part by a Federal Transit Administration Grant. All work performed for this project is subject to Federal funding requirements, including Federal and Washington State prevailing wage rates.

Skagit Transit, in accordance with Title VI of the Civil Rights Act of 1964, 78 Stat. 252, 42 USC 2000d to 2000d-4 and Title 49, Code of Federal Regulations, Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Nondiscrimination in Federally-Assisted Programs of the Department of Transportation issued pursuant to such Act, hereby notifies all bidders that it will affirmatively ensure that in any Contract entered into pursuant to this advertisement, disadvantaged business enterprises as defined at 49 CFR Part 26 will be afforded full opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, national origin, or sex in consideration for an award.

Please contact Elisa Rizzo, Procurement and Contracts Coordinator, by phone at (360) 757-1378 or email at erizzo@skagittransit.org with any questions regarding this solicitation.

PUBLISHED IN: The Skagit Herald
 Daily Journal of Commerce
 Washington's Electronic Business Solution (WEBS)
 Office of Minority and Women's Business Enterprises (OMWB)

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SECTION 1 – INTRODUCTION

Skagit Transit is the sole public transportation agency operating in the Skagit Public Transportation Benefit Area under Washington State Law, Section 36.57A RCW. Skagit Transit operates fixed route and ADA paratransit services available to 95% of the population in Skagit County. The service area spans from eastern Skagit County ending at the gateway to the North Cascade mountain range to western Skagit County connecting with the Washington State Ferry System serving islands in the northern Puget Sound. The urban areas served are Mount Vernon, Burlington, Sedro Woolley and Anacortes. The fixed route system connects with Island and Whatcom Skagit Transits, Greyhound intercity bus service and Amtrak light rail services.

Skagit Transit's current facility is not able to accommodate additional growth. To address capacity constraints of the current base of operations, Skagit Transit acquired a former distribution warehouse facility to meet the agency's immediate and future space needs. The design/engineering and environmental plans are completed and the project is ready for construction activity.

Skagit Transit purchased a newly acquired facility "MOA II". The facility purchased in 2015 is a pre-engineered, steel fabricated building with a concrete floor, which was constructed in 2004 and expanded in 2008. On-site improvements include a distribution building, truck trailer parking, fencing security lighting, cameras and storm water detention. The site's configuration, existing building size and flexibility are already well suited to Skagit Transit needs and will easily accommodate the changes needed to correctly program the space for Skagit Transit's operations, maintenance and administration for the next 20 years and beyond.

The purchase of land with facility improvements are completed. The design/engineering and environmental plans are complete. The renovation and new construction of the facility will be completed in three phases. When the three phases are completed, the facility will be the base for all Skagit Transit's maintenance, operations and administrative support activities.

The renovation and new construction of the facility will be completed in three phases. When the three phases are completed, the facility will be the base for all Skagit Transit's maintenance, operations and administrative support activities.

Skagit Transit has secured the funding for Phase 1 and includes but is not limited to the following:

Phase I – This phase will complete the energy envelope of the south and east section of the facility, the energy envelope includes insulation, roofing and siding. Utilities will be rough-in and ready for the next phase of the work. Phase I is fully- funded and construction is anticipated to be underway in December 2019. (subject to change)

Phase 1: "Shell Core" of the east portion of the Building A (east of demising wall)

Scope outline for modifications to building and site:

- Demolish existing interior and exterior building elements in phase 1 scope area only, including walls, doors, windows, HVAC, select electrical, exterior siding
- Select rough in for under slab electrical conduit and plumbing
- Install demising wall

- Install Mezzanine footings but no framing
- Install new brace frame in northeast corner
- Install canopy footings and columns at the perimeter of the building along the north elevation as well as at the north east corner
- Very limited to no site work
- Minimal heating, to keep the space above freezing
- Minimal lighting, to meet code
- New exterior envelope including insulation, windows, doors, metal siding, vestibules, main public entry canopy and additional interior roof insulation
- Frame in fire sprinkler room and add small heater
- No work west of demising wall

Phase 1 is fully- funded and construction is anticipated to be underway in November 2019. (Subject to change)

Phase 2 – “Tenant improvement” of the east portion of Building A to make occupiable. Phase II – Consists of completing the administrative and public use area of the facility and will be fully functional at its completion.

Scope outline for modifications to building and site:

- Complete install of all interior walls, finishes, doors, windows
- Complete install of electrical, HVAC, plumbing, Comm, IT and other infrastructure
- Install Mezzanine framing and floor
- Install canopy along north and northeast elevations
- Site work including public parking and public entry including paving, fencing, landscaping, lighting, other infrastructure, staff parking and staff building entry plaza area.
- Install generator and transformers
- Upgrade fire sprinkler system

Phase 3: Remainder of the project - Phase 3– Will complete the facility project. Project elements include completion of the maintenance support areas and new ancillary facilities for washing and fueling revenue vehicles and site improvements.

Scope outline for modifications to building and site:

- Completion of exterior envelope improvements on the remainder of Building A west of the demising wall
- Complete install of all interior walls, finishes, doors, windows west of demising wall
- Complete install of electrical, HVAC, plumbing, Comm, IT and other infrastructure west of demising wall
- Install remainder of canopy on north elevation
- Completion of upgrade fire sprinkler system for building A west of demising wall
- Construct building B
- Completion of site work including the north parcel, utilities, paving, landscaping, fencing, stormwater facilities

Both Phase 2 and Phase 3 are dependent on the availability of funds. We do not have the Federal funds secured at this time. However, if additional Federal funding is secured, Skagit Transit

reserves the right to negotiate a contract with the winning firm to proceed with Phase 2 and 3. Skagit Transit reserves the right not to award Phase 2 and Phase 3 if it is not in their best interest.

At this time, the selected firm will provide construction oversight for Phase I of the project.

Interested Proposers are encouraged to attend an optional pre-proposal meeting and site visit July 30, 2019 at 9:00 a.m. (PST), at the Skagit Transit, 11784 Bay Ridge Drive, Burlington , WA 98233.

Submittals are due no later than 3:00 p.m. (PST) on September 4, 2019.

1.4 SCOPE OF WORK

The selected firm will provide all work required for Construction Management and Inspection Services for this project. The selected firm must provide sufficient oversight of the Contractor's work to ensure the project is constructed according to the approved plans and specifications.

The Construction Management Team will coordinate closely with Skagit Transit's Engineer and TCF Architecture on some of the specific tasks for this project. The professional services contracted to complete this project will proceed with Phase 1 at this time as outline above.

1. PHASE 1 - BID PHASE

The Construction Management Team will participate in the pre-bid meeting with the Procurement and Contracts Coordinator and TCF Architecture. The Construction Management Team will complete the following tasks:

Task 1.1 - Pre-bid Meeting Participation

Skagit Transit's Procurement and Contracts Coordinator will conduct a pre-bid meeting and site visit with prospective bidders to review project details and allow bidders to ask questions.

The Construction Management Team will:

1. Attend the pre-bid conference and answer applicable questions;
2. Provide input, along with TCF Architecture staff, on the conference notes and/or addenda resulting from this conference; and
3. Skagit Transit's Procurement and Contracts Coordinator will take notes during the meeting.

Task 1.2 - Respond to Technical Questions

During the Bid Phase, it is critical to provide accurate responses to prospective bidders' technical questions in a timely manner. The Construction Management Team will:

1. As requested by the Procurement and Contracts Coordinator and TCF Architecture, assist in responding to technical questions received from potential bidders during the bid phase.

Task 1.3 - Addenda Assistance

In the event that an addendum is required:

1. The Construction Management Team will assist TCF Architecture and Skagit Transit as necessary with the preparation of the Addenda.

Task 1.4 - Bid Opening and Evaluation Assistance

1. Skagit Transit's Procurement and Contracts Coordinator will conduct the bid opening. TCF Architecture may attend the bid opening. The Construction

Management Team will attend the bid opening with the Procurement and Contracts Coordinator and will assist with the bid evaluation.

Task 1.5 – Document Preconstruction Conditions

1. The Construction Management Team will take photos and videos documenting preconstruction conditions; and
2. Manage all photographic records for the project.

Task 1.6 – Construction Documentation Files and Information Tracking Systems. Construction Management Team will accumulate, organize, and maintain a complete record of the Construction to include:

1. Set up electronic and hard copy tracking systems;
2. Create a Record of Material and Submittal log tracking systems; and
3. Track all project related communications throughout the project.

2. PHASE 2 – CONSTRUCTION PHASE

The Construction Management Team will provide continuous oversight of the Contractor's work and observe all work in progress to ensure the work is proceeding in accordance with the Contract documents and regulatory approvals and conditions. The Construction Management Team will collaborate with TCF Architecture's Engineer to provide solutions to unanticipated design or site issues that may emerge once construction begins.

Task 2.1 – Construction Management Plan

The Construction Management Team will provide a detailed and approved Construction Management Plan. At a minimum, the Construction Management Plan will describe in detail:

1. How the Construction Management Team will manage the construction process and provide the required oversight of the Contractor's work for this project;
2. How the Construction Management Team will help resolve construction issues with the Contractor and TCF Architecture; and
3. How the Construction Management Team will maintain timely construction management and inspection services in the event he/she is unavailable or requires a lengthy absence from this project.

Task 2.2 – Participation in Construction Meetings

The Construction Management Team will:

1. Participate in the preconstruction and construction meetings with the Contractor, TCF Architecture, and Skagit Transit;
2. Record, distribute and track construction meeting minutes; and
3. Advise Skagit Transit and TCF Architecture, as necessary, of any issues that arise, and work with appropriate project contacts to resolve those issues.

Task 2.3 – Construction Documentation and Inspection

The Construction Management Team will:

1. Conduct inspections and observations of the work to ensure it is completed in accordance with the plans, specifications and regulatory requirements;
2. Recommend corrections to the construction Contractor as needed;
3. Coordinate clear and constructive communication lines between all interested parties throughout the project;
4. Manage photographic records for the project (document construction activities, materials, etc.);
5. Prepare Inspectors Daily Reports;
6. Review, manage, and process substitution requests;
7. Review for acceptance Manufacturer's Certificates of Compliance;
8. Review Contractor submittals for approval;
9. Scrutinize and process progress payments/pay requests for Skagit Transit approval;
10. Report project progress and issues requiring Skagit Transit resolution;
11. Prepare construction Change Orders with TCF Architecture input, as necessary, negotiate with the Contractor, and make recommendations to Skagit Transit to approve or disapprove the Change Order;
12. Prepare cost estimates to verify change order claims;
13. Report cost and schedule impacts to Skagit Transit;
14. Coordinate inspections with regulatory agencies and local jurisdictions;
15. Monitor the Contractor's traffic control procedures and systems and provide recommendations including signage and notification of changes;
16. Conduct Prevailing Wage Rate interviews;
17. Support documentation related to tracking Disadvantaged Business Enterprise (DBE) goals as may be established for the construction project;
18. Review and approve certified payroll documentation;
19. Coordinate field testing services and verify compliance with all required special inspections provided by the contractor;
20. Conduct final inspections with the Contractor and prepare punch lists for substantial completion; and
21. Prepare Physical and Final Acceptance letters.

3. POST-CONSTRUCTION PHASE

The Construction Management Team will:

1. Administer the close-out process;

2. Verify all contractor reporting and project completion requirements have been met with appropriate state agencies;
3. Review Contractor's Operation and Maintenance Manual;
4. Assist in claims analysis and dispute resolution;
5. Prepare an electronic record of the construction management files and records and provide to Skagit Transit;
6. Review and approve Contractor's As-built Drawings; and
7. Close out construction files.

1.5 FUNDING

A Federal Transit Administration (FTA) grant funds a portion of this project. The awarded Contract is subject to all provisions prescribed for third party Contracts by that financial assistance agreement, including the Federal provisions in the Contract conditions contained in this document.

1.6 AWARD

Skagit Transit intends to award a Contract to the responsive responsible firm whose Submittal meets all RFQ requirements and is determined the most advantageous to Skagit Transit.

This Solicitation does not obligate Skagit Transit to contract for the services specified herein. Skagit Transit reserves the right to add, remove, or otherwise modify requirements to meet the operational and strategic objectives of the Agency.

1.7 CONTRACT TERM

The initial term of the Contract resulting from this RFQ will begin on the date of the final signature of the Contract and continue until no later than_____, 20 , unless otherwise terminated or extended according to the Contract provisions. Skagit Transit reserves the option to extend this Contract in the event that work is not complete by the termination date.

SECTION 2 - GENERAL INFORMATION

2.1 CONTACT INFORMATION

Until a Contract is awarded, all questions and inquiries must be directed to the Procurement and Contracts Coordinator listed below. Skagit Transit considers all oral communication unofficial and non-binding. Proposers are to rely only on written statements issued by the Procurement and Contracts Coordinator.

Procurement Coordinator: Elisa Rizzo
Email Address: Erizzo@skagittransit.org
Address: 600 County Shop Lane
 Burlington, WA 98233

2.2 PROCUREMENT SCHEDULE

The activities and dates listed below represent the anticipated procurement schedule.

Skagit Transit reserves the right to change the schedule. Skagit Transit will post any changes to the Pre-proposal meeting date and time or Submittal deadline on at www.skagittransit.org

RFQ #19-013F Schedule	Date and Time (Pacific Time)
RFQ Release	July 11, 2019
Pre-Proposal Meeting and Site Visit (MOA2)	July 30, 2019 @ 9:00 a.m.
Questions and Request for Clarifications Due	August 7, 2019 @ 3:00 p.m.
Submittal Deadline	September 4, 2019 @ 3:00 p.m.
Evaluations Begin	September 9, 2019
Anticipated Interviews (if held)	October 2, 2019
Anticipated Award Date (Board meeting)	October 16, 2019
Anticipated Contract Start Date	October 25, 2019

2.3 PRE-SUBMITTAL MEETING AND SITE VISIT

Proposers may attend an optional Pre-proposal meeting and site visit at the Skagit Transit Facility, located at 11784 Bay Ridge Drive, Burlington, Washington 98233 on the date and time identified in the Procurement Schedule. While attendance is not mandatory, Proposers are encouraged to attend. This meeting will provide prospective Proposers an opportunity to seek clarification and raise concerns related to the solicitation. Each prospective Proposer is obligated to raise pertinent issues during this meeting. If interpretations, specifications, or other Solicitation concerns warrant a change or clarification as a result of the meeting, the Procurement Coordinator will do so by issuing an Addenda posted at www.skagittransit.org.

2.4 SOLICITATION DOCUMENT AVAILABILITY

Solicitation documents for this project are available on-line at Skagit Transit's website at www.skagittransit.org under about us in the procurement section. It is the Proposer's responsibility to contact Elisa Rizzo to be added to the bid list @ erizzo@skagittransit.org. All addenda will be posted at www.skagittransit.org.

Proposers are responsible to check for updates and obtain any Addenda related to this Solicitation. Failure to do so may result in the submission of a Submittal that is inconsistent with most the current information and may result in disqualification

2.5 EXAMINATION OF DOCUMENTS

Proposer must thoroughly examine all Solicitation Documents, including but not limited to, the RFQ, Solicitation Standards, Sample Contract, Submittal Document, any other material referenced or incorporated herein, and any Addenda. Submission of a Submittal constitutes acknowledgment that the Proposer has thoroughly examined the Solicitation Documents.

Proposer's failure or neglect to receive or examine any of the Solicitation Documents, statutes, ordinances, regulations and permits will in no way relieve the Proposer from any obligations with respect to the Submittal or any resulting Contract.

Skagit Transit will reject claims for additional compensation based upon a lack of knowledge or misunderstanding of any of the Solicitation Documents, statutes, ordinances, regulations, permit requirements, or other materials referenced or incorporated in this RFQ.

2.6 QUESTIONS AND REQUESTS FOR CLARIFICATIONS

Proposer questions and/or requests for clarification regarding this RFQ will be allowed consistent with the respective dates specified in the Procurement Schedule. All Proposer questions and/or requests for clarification must be submitted in writing via email to the Procurement and Contracts Coordinator. It is at Skagit Transit's sole discretion to accept or reject any request for changes.

Skagit Transit will provide an official written response to Proposer questions received by the respective deadlines. Proposers must not rely on any oral statements or conversations, whether at the Pre-Proposal Meeting or otherwise, with Skagit Transit representatives for questions or clarifications regarding this RFQ. Verbal responses to questions and/or clarifications will be considered unofficial and non-binding. Only written responses posted to Skagit Transit's website in the form of an Addendum will be considered official and binding. All such Addenda will become part of the Solicitation and any awarded Contract.

If no requests for clarification are received, Skagit Transit will construe silence as acceptance and that the Proposer intends to comply with the Solicitation Documents as written in their entirety.

2.7 SOLICITATION STANDARDS

The Solicitation Standards document has been included in [Appendix B](#).

The Solicitation Standards document contains important information for Proposers applicable to this Solicitation. The terms and conditions provided in the Solicitation Standards document apply directly to, and are incorporated by reference, into this Solicitation and the Contract resulting from this Solicitation. As such, Proposers do not need to attach this document with their Submittal. It is the Proposer's responsibility to read and fully understand the details of all items contained herein prior to submitting their Submittal.

2.8 CONTRACT TERMS AND NEGOTIATION

A sample Contract has been included in [Appendix C](#). Skagit Transit expects the final Contract signed by the successful Proposer to be substantially the same as the sample Contract. Proposer's submission of a response to this Solicitation constitutes general acceptance of these Contract requirements.

The foregoing should not be interpreted to prohibit either party from proposing additional Contract terms and conditions during negotiation of the final Contract.

2.9 INCORPORATION OF DOCUMENTS INTO CONTRACT

A Submittal submitted in response to this Solicitation is an offer to contract with Skagit Transit. This Solicitation document, all incorporated documents, any subsequent Addenda, and the successful Proposer's response will be incorporated into the resulting Contract.

SECTION 3 – SUBMITTALS

Respond to the following requirements in this section.

3.1 SUBMITTAL REQUIREMENTS

Proposer must complete and provide the following information (1-8) using the Submittal Document of [Appendix A](#). Failure to complete and submit all items listed in this section may disqualify the Proposer from further participation in this RFQ.

1. Cover Letter

A cover letter signed by the individual capable of committing the resources of the proposing firm and authorized to bind the proposing firm to the offer. The cover letter will include:

- A brief introduction of the proposing firm, key personnel, and qualifications and experience;
- Name, address, and telephone number of the individual who will represent the Proposer(s) during contract negotiations with Skagit Transit; and
- A statement confirming the firm's availability to complete the work on this project.

2. Statement of Qualifications

Proposer must provide the firms' summary of qualifications and submit a response to each of the criteria as requested in Section IV – Evaluation Criteria and Award, 4.2 Evaluation Criteria.

3. Proposer Acknowledgements

The Proposer Acknowledgements must be signed by the Proposer's Authorized Representative. Proposer must complete the acknowledgement of Addenda receipt box(es) by filling the "addenda numbers" fields for each Solicitation Addenda issued, and complete the signature box information on the Proposer Acknowledgements page.

4. Proposer Information

Proposer must complete the Proposer Profile, Proposer Authorized Representative, Proprietary or Confidential Information, Certified DBE Status, and Statement of Prior Contract Termination sections. Proposer may attach additional sheets if necessary.

5. Subconsultant Information

Proposer is instructed to complete the Subconsultant Information section if the Proposer intends on utilizing Subconsultants. If Proposer does not intend to use Subconsultants, the Proposer is not required to complete this section of the Submittal Document. If no information is entered, Skagit Transit will assume that Subconsultants will not be used.

Skagit Transit will accept Submittals that include third party involvement only if the Proposer submitting the Submittal agrees to take complete responsibility for all actions of such Subconsultants. Proposer must disclose the use of any Subconsultant(s) in their Submittal.

If applicable, Proposer will identify all Subconsultants who will perform services in fulfillment of Contract requirements, including their name, the nature of services to be performed, address, telephone, email, federal tax identification number (TIN), Washington State Unified Business Identifier (UBI), and DBE or SBE certification status. Skagit Transit reserves the right to approve or reject any and all Subconsultants that Proposer proposes. Any Subconsultant not listed in the Proposer's Response, who are engaged after award of the Contract, must be pre-approved, in writing, by Skagit Transit before providing services under the Contract.

6. References

Proposer must submit a minimum of three (3) references for which the Proposer has provided services similar to those described herein.

Through this submission, Proposer grants permission to Skagit Transit to independently contact the references. Skagit Transit reserves the right to obtain and consider information from other sources concerning a Proposer, such as Proposer's capability and performance under other contracts, Proposer's financial stability, past or pending litigation, and other publicly available information.

7. Certification Regarding Lobbying

Proposer must complete and submit the Certification Regarding Lobbying. This form must be completed and signed by the Proposer's Authorized Official.

8. Certification Regarding Debarment, Suspension, and Other Responsibility Matters

Proposer must complete and submit the Certification Regarding Debarment, Suspension and Other Responsibility Matters. This form must be completed and signed by the Proposer's Authorized Official.

3.2 SUBMITTAL INSTRUCTIONS

Proposer will submit their complete Submittal in the following manner:

A. SOQ Submittal: Proposer must complete and submit all sections of the Submittal Document, located in [Appendix A](#), as their Submittal. Five (5) hard copies and one (1) electronic copy of the Proposer's complete Submittal must be received by Skagit Transit on or before the **Submittal Deadline** set forth in the Procurement Schedule.

1. *Hard Copy:* The hard copy Submittal is to be typed and submitted on 8.5" x 11" paper and should be stapled or comb-bound.
2. *Electronic Copy:* The electronic copy Submittal is to be submitted on a USB flash drive or CD-RW/CD-ROM, labeled with the RFQ number and Proposer's name. The preferred electronic formats are Microsoft Word 2000 (or more recent version) and PDF.

B. Delivery of SOQ Submittal: The Submittal must be delivered as follows:

1. Enclose the hard copy and electronic copy of the Submittal together in a single envelope or container and label as follows:

Skagit Transit Project #RFQ19-013F – Construction Management and Inspection Services Attn: Elisa Rizzo, Procurement and Contracts Coordinator *600 County Shop Lane, Burlington, WA 98233

2. Ensure delivery to Skagit Transit at the address provided in Item B.1 above on or before the Submittal deadline.

C. Time of Receipt: Time of receipt will be determined by the date and time the Submittal is received by Skagit Transit's Administrative Office Receptionist. Proposer accepts all risks of late delivery regardless of fault or chosen method of delivery.

Submittals are to be submitted in the format described in this Solicitation. No oral, faxed, e-mailed or telephone Submittals or modifications will be accepted or considered. All Submittals and any accompanying documentation become the property of Skagit Transit and will not be returned. **In the event of any discrepancies between the hard copy and electronic copy Submittal, the hard copy will prevail.**

3.3 LATE SUBMITTALS

Any Submittal received after the Submittal deadline will not be accepted or receive consideration. The exact time is designated as the date and time received by Skagit Transit's Administrative Office Receptionist.

3.4 PROGRESS AND COMPLETION

Time is strictly of the essence for the Contract resulting from this Solicitation. Progress and completion of the work shall comply with all stated requirements. Proposer's submission of a Submittal shall constitute the Proposer's acknowledgment that such progress and completion requirements are taken into account in formulating the Submittal and negotiating a price for the work.

3.5 PROPOSER RESPONSIVENESS

Proposer must respond to the requirements contained in this RFQ. Failure to demonstrate to Skagit Transit that your firm meets RFQ requirements and/or comply with any applicable item may result in the response being deemed non-responsive and disqualified from further consideration.

Skagit Transit, at its sole discretion, reserves the right to consider the actual level of Proposer's compliance with Solicitation requirements, accept or reject any and all Submittals received, waive any irregularities or minor informalities, to accept any items or combination of items, and to request additional information required to fully evaluate a Submittal.

SECTION IV - EVALUATION CRITERIA AND AWARD

4.1 OVERVIEW

The responsive responsible Proposer whose Submittal is determined to best meet all RFQ requirements and is the most advantageous to Skagit Transit, based on the evaluation factors described herein, will be declared the successful Proposer. All Submittals are subject to Skagit Transit's final approval as to whether they meet all RFQ requirements.

4.2 EVALUATION CRITERIA

Skagit Transit will evaluate Submittals in conformance with the Brooks Act (Public Law 92-582) to determine which proposals, if any, demonstrate that the proposing firms are qualified to complete the work and are in the best interest of Skagit Transit. The criteria outlined below will be used in evaluating the submittals.

In responding to the Evaluation Criteria below, the Proposer should organize its SOQ so that the qualifications are clearly illustrated in each of the categories, using the Submittal Requirements listed below for each Evaluation Criterion.

The scores for each Submittal will be assigned a relative importance for each scored section as follows:

PHASE 1 EVALUATION - SOQ SUBMITTAL

No.	Evaluation Criterion	Max Points
1.	Firm's Qualifications, Experience and History	10 points
2.	Qualifications and Experience of Key Individuals	30 points
3.	Approach to Complete the Work	20 Points
4.	Capacity and Availability	20 Points
5.	Previous Municipal Work Experience	20 points
	Total Possible Phase 1 Points:	100 Points

PHASE 2 EVALUATION - INTERVIEWS (OPTIONAL)

No.	Criterion	Max Points
1.	Interview	100 points

	Total Possible Phase 2 Points:	100 Points
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The Proposer should organize its SOQ so the qualifications are clearly illustrated in each of the categories, using the Submittal Requirements listed below for each Evaluation Criterion.

Evaluation Criterion 1	Firm's Qualifications, Experience and History	10 pts.
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Recent experience of the firm and successful completion of work of a similar type and complexity as requested in this RFQ.

Submittal Requirements

1. Describe your firm's qualifications and experience to perform Construction Management and Inspection Services. Provide a general description of firm's history and services provided and type of clients served.
2. Description of up to five (5) projects for which the firm provided services to illustrate the firm's ability to perform the requirements of this Contract. Submittal should include but are not limited to:
 - a. Project name and location.
 - b. Client name, primary contact, address, phone number and email address.
 - c. Brief description of the work including the services provided by the firm and the total cost of the project.

Evaluation Criterion 2	Qualifications and Experience of Key Individuals	30 pts.
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Specialized experience and technical competence of the key individuals who will provide the requested services.

Submittal Requirements

1. Brief résumés for each of the key individuals who will provide the requested services as described in the RFQ.
2. A representative list of up to three (3) current or past projects (within 3 years) performed by the key individuals who will provide the requested services.
3. Description of the tasks the individual would perform, their experience to perform these tasks, and their related experience.
4. Personal credentials and certification(s) to perform the work.

Evaluation Criterion 3	Approach to Complete the Work	20 pts.
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The Proposer will be required to articulate the firm's proposed approach to complete the work as outlined in the RFQ.

Submittal Requirements

1. Describe your firm's approach to provide Construction Management and Inspection Services to ensure project work and Contract obligations are fulfilled. Include relevant tools or methods used to monitor construction activities.
2. Describe how you will manage the construction process and provide the required oversight of the Contractor's work for this project, including resolving any construction issues with the Contractor.

Evaluation Criterion 4	Capacity and Availability	20 pts.
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The Proposer will be required to ensure the firm's capacity and availability of key personnel to Skagit Transit with the required services.

Submittal Requirements

1. Please provide information affirming the firm's capacity to perform the work within the time parameters as outlined in the RFQ, considering the firm's current and planned workload. Describe how you will ensure Skagit Transit's needs are met while managing multiple clients or in the event unavailable for a lengthy period of time.
2. Please provide a summary describing the current availability of key personnel and resources assigned for the length of the project.

Evaluation Criterion 5	Previous Municipal Work Experience	20 pts.
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Submittal Requirements

1. Please provide a summary describing firm's previous related municipal construction management and inspection experience.

4.3 EVALUATION PROCESS

1. Initial Determination of Responsiveness (Pass/Fail)

Submittals will be reviewed initially by the Procurement and Contracts Coordinator to determine on a pass/fail basis compliance with administrative requirements as specified in this RFQ. Only responses that meet this requirement will move to the next evaluation step.

Skagit Transit reserves the right to determine at its sole discretion whether Proposer's Submittal meets the responsiveness criteria as set forth within this document. If all responding Proposers are determined to be deemed non-responsive, Skagit Transit will cancel the Solicitation and reject all Submittals.

Only responses that pass the Initial Determination of Responsiveness review will be further evaluated based on the requirements in this Solicitation.

2. Phase 1 Evaluation – SOQ Submittal (Scored)

Evaluators will score each element of the Submittal according to the evaluation criteria provided above. The Procurement Coordinator will tabulate evaluators' scoring. Skagit Transit will calculate a single score for each Submittal. There are a maximum of **100 points** available for the Submittal.

3. Phase 2 Evaluation – Interview (Scored)(Optional)

Skagit Transit reserves the right to schedule interviews if determined to be in the best interest of Skagit Transit. In the event interviews are required, Skagit Transit will contact the top-scoring Proposer(s) from the Phase 1 Evaluation to participate in the Phase 2 Evaluation – Interview. If Phase 2 is required, Phase 1 scoring will only be used to determine which Proposer(s) move to Phase 2. Phase 2 scoring will then be used during the remainder of the RFQ evaluation process to determine the apparent successful Proposer. There are a maximum of **100 points** available for the interview. Commitments made by the Proposer during the interview, if any, will be considered binding.

4. References (Pass/Fail) (Optional)

Skagit Transit reserves the right to check references after receipt of Submittal, to assist in determining the overall responsibility of the Proposer. References may be checked during Submittal evaluation to determine the responsibility of Proposers.

Skagit Transit reserves the right to reject any Submittal if the Proposer receives unfavorable references and may use results as a factor in award. Skagit Transit reserves the right to seek and substitute other references to determine the sufficiency of the Proposer's level of responsibility.

5. Evidence of Qualification (Pass/Fail)

After receipt of Submittal, Skagit Transit reserves the right to make reasonable inquiry and/or requests for additional information, to assist in determining the overall responsibility of any Proposer. Requests may include, but are not limited to, educational degrees, business licenses, financial statements, credit ratings, references, record of past performance, experience, available equipment, criminal background check, clarification of Proposer's offer, and on-site inspection of Proposer's or Proposer's Subconsultant's facilities. Failure to respond to said request(s) may result in the Proposer being deemed non-responsive and thus disqualified. Skagit Transit reserves the right to reject any Submittal where, upon investigation of the available evidence or information, Skagit Transit is not satisfied that the Proposer is qualified to fulfill Contract requirements.

4.4 OVERVIEW OF THE AWARD PROCESS

The successful Proposer, if any, will be the responsive, responsible, qualified Proposer whose Submittal, in the sole opinion of Skagit Transit, best meets the requirements set forth in this RFQ and is in the best interest of Skagit Transit. Skagit Transit may enter into Contract negotiations with the successful Proposer, including but not limited to, final scope, schedule, and compensation.

All responsive Proposers responding to this solicitation will be notified when Skagit Transit has determined the successful Proposer.

If Skagit Transit and the successful Proposer are unable to negotiate an acceptable Contract within a reasonable amount of time, Skagit Transit will terminate negotiations and will proceed to negotiations with the next highest ranked Proposer. Skagit Transit will not share

any information about any firm's proposal with other Proposers or anyone outside of the evaluation team until after Contract award.

Skagit Transit will make a recommendation of the successful Proposer to the Authority. If the Authority concurs, a Contract will be awarded to the successful Proposer. **This Solicitation does not obligate Skagit Transit to contract for the services specified herein.**

4.5 CONTRACT TERMS AND NEGOTIATIONS/COST AND PRICING DATA

The objective of negotiations is to reach agreement on all provisions of the proposed Contract. To assist in the negotiations, a sample Contract is included in [Appendix C](#). The selected firm and subconsultants, if any, must submit hourly rates for each member of their team. These costs, once agreed to by Skagit Transit, will form the basis for a billing/payment provision. During negotiations, Skagit Transit reserves the right to request additional documentation supporting the proposed cost and pricing data.

4.6 COST PROPOSAL

The selected firm will be required to submit a Cost Proposal. The cost elements must include:

1. Labor Hours - Provide labor hours by task and by labor category.
2. Direct Labor Rates - Identify the proposed direct labor rates by individual and by labor category (i.e., by function or discipline)
3. Overhead Rates - Provide a copy of the most recent independent audit that has been performed within the past two (2) years. If an independent audit is available, then the items below will not be required. If an independent audit report is not available then provide the following:
 - a. Provide an overhead schedule showing a detailed listing of overhead expenses incurred for the most recent year as well as total direct labor costs. This overhead schedule should be based on actual expenses incurred during the previous year.
 - b. Unallowable costs must not be included in the proposed overhead rate. Include a certified statement that the proposal does not include any unallowable costs. Refer to Federal Acquisition Regulations Part 31 for more information on which costs are allowable and which are unallowable.
 - c. Provide a chart of accounts, describing what is included in each overhead account line item.
4. Other Direct Costs (ODCs) - If proposing direct costs other than labor, then provide a description and rationale for the estimate. Any proposed ODCs must be task or job specific costs that are normally charged directly to a Contract and have not been included in the overhead rate. ODCs, if approved, will be reimbursed at cost only, with no markup added.
5. Professional Fee/Profit - Identify the proposed professional fee/profit rate, expressed as a percentage of direct labor and overhead costs.

4.7 EXECUTION OF CONTRACT

The successful Proposer will execute the final Contract in duplicate and return to Skagit Transit, together with the evidences of insurance, within ten (10) business days of its receipt.

After execution by Skagit Transit, one (1) original signed Contract will be returned to the awarded firm.

4.8 POST AWARD MEETING

The awarded firm may be required to attend a post award meeting scheduled by the Procurement Coordinator to discuss Contract performance requirements.

APPENDIX A – SUBMITTAL DOCUMENT

INSTRUCTIONS:

The following documents constitute the Required SOQ Submittal. Failure to submit all the required documents along with their proposal may cause a Submittal to be non-responsive. Proposers are advised to read carefully all portions of the Contract documents and to comply with all requirements therein. The Submittal must include all of the items listed below:

1. **Cover Letter:**

The Cover Letter must be signed by the individual within the organization authorized to bind the proposing firm to the offer. The Cover Letter will address the items as outlined in the RFQ.

2. **SOQ Submittal:**

Summary of qualifications of firm and proposed approach as outlined in the RFQ.

3. **Proposer Acknowledgements:**

Proposer must complete the Proposer Acknowledgements and include with their Submittal.

4. **Proposer Information:**

Proposer must complete the Proposer Information and include with their Submittal.

5. **Subconsultant Information:**

Proposer must complete subconsultant information if Proposer intends to use any subconsultants.

6. **References:**

Proposer must provide a minimum three (3) references for which the Proposer has provided services similar to those described in this solicitation. References must not be from an individual, company or organization with any special interest, financial or otherwise, to the Proposer.

7. **Certification Regarding Lobbying**

Proposer must complete the Certification Regarding Lobbying and include with their Submittal.

8. **Certification Regarding Debarment, Suspension, and Other Responsibility Matters**

Proposer must complete the Certification Regarding Debarment, Suspension, and Other Responsibility Matters and include with their Submittal.

**PROPOSER ACKNOWLEDGEMENTS Construction Management and Inspection Services
- RFQ #19-013F**

Issued by Skagit Transit

Having carefully examined all requirements and terms and conditions identified in this Request for Qualifications (RFQ), the undersigned, declares that I/we have examined all of the solicitation documents and that I/we will Contract with Skagit Transit (Skagit Transit) to do everything necessary for fulfillment of RFQ #19-013F, Construction Management and Inspection Services, and the resulting Contract, at the prices and on the terms and conditions contained herein.

I/We certify, under penalty of perjury, that this is not a sham or collusive Proposal, nor made in the interest or on behalf of any person not herein named. I/We have not directly or indirectly induced or solicited any Proposer on the above work, or suppliers to put in a sham Proposal, nor any other person or corporation to refrain from Proposing. I/We have not in any manner sought by collusion to secure advantage over any other Proposers.

I/We agree that our Proposal constitutes an offer to Skagit Transit, which shall be binding for sixty (60) Calendar Days from the Proposal due date. If our Proposal is accepted, we agree to sign the Contract form and furnish evidences of insurance required herein within ten (10) Business Days after receipt from Skagit Transit of written notice of award of Contract.

I/We certify that we are, at the time of submitting this Proposal and shall remain throughout the period of the Contract, registered and licensed by the state of Washington to perform the type of work required under the procurement. We further certify that we are skilled and regularly engaged in the general class and type of work called for in the procurement.

I/We further agree, if our Proposal is accepted and a Contract is awarded by Skagit Transit, to plan and prosecute the work with such diligence that the work and portions thereof shall be completed and ready for use within the period set forth.

I/We acknowledge receipt of addenda numbers ___ through ___ and have been taken into account as part of our Proposal.

The following information is accurate to the best of our knowledge. Any required documentation has been attached separately. We understand that failure to complete this form in its entirety may deem our Proposal "Non-Responsive".

The signatory below represents that he/she has the authority to bind the company named below to the Proposal submitted and any Contract awarded as a result of this Solicitation. The authorized signatory acknowledges having read and understood the entire Solicitation and agrees to comply with the terms and conditions of the Solicitation in submitting and fulfilling the offer made in its Proposal. By submitting this Proposal, Proposer hereby offers to furnish materials, supplies, services and/or equipment in compliance with all terms, conditions, and specifications contained in this Solicitation.

Proposer Signature

Company Name

Title

Date

END OF FORM

PROPOSER INFORMATION

1. **Proposer Profile:**

Proposer must provide the following:

Firm Name	_____
DBA Name (<i>if applicable</i>)	_____
Street Address	_____
City, State, Zip Code	_____
Federal Tax ID Number	_____
WA State UBI Number	_____
DUNS #	_____

2. **Proposer Authorized Representative:**

Proposer must designate an Authorized Representative who will be the principal point of contact for the Procurement Coordinator for the duration of this RFQ process. Proposer's Authorized Representative will serve as the focal point for business matters and administrative activities.

Representative Name:	_____
Street Address	_____
City, State, Zip Code	_____
Telephone Number	_____
Email Address	_____

3. **Proprietary or Confidential Information:**

Proposer must indicate any pages and/or sections of their Response that Proposer desires to claim as proprietary and exempt from disclosure under the provisions of Chapter 42.56 RCW below. Indicate the pages of Proposer's Response that have been marked "Confidential" and the particular exception from disclosure upon which the Proposer is making the claim below. Please see Section 18 of the Solicitation Standards document for more detail on Proprietary or Confidential Information as it relates to this Solicitation. *If not applicable, Proposer is instructed to enter "NA" in the box below.*

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4. **Certified DBE and SBE Status:**

Skagit Transit gathers the following Disadvantaged Business Enterprise (DBE) and Small Business Enterprise (SBE) information for reporting purposes. Proposer is instructed to check the applicable boxes and enter associated certification numbers, as applicable, below:

- ☐ Not Applicable
- ☐ DBE Certification #
- ☐ SBE Certification #

5. Statement of Prior Contract Termination:

Proposer must disclose below if the Proposer's firm and/or any proposed subconsultants have had a Contract terminated for either cause or convenience in the last five (5) years. If a Contract was terminated for cause or convenience during this timeframe, submit full details of the termination including but not limited to, the reason for termination, the other party's contact information (name, address, email address, and telephone number), and the Proposer's position on the matter. Skagit Transit will evaluate the information and may, at its sole discretion, reject the Response based on the risk to the Agency. *If not applicable, Proposer is instructed to enter "NA" in the box below.*

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END OF FORM
SUBCONSULTANT INFORMATION

Check the applicable box:

☐ Yes ☐ No Proposing firm intends on utilizing subconsultants to fulfill the service requirements outlined in RFQ #19-013F, Construction Management and Inspection Services

Proposer will be required to perform all work under this Contract using his/her own employees carried on payroll or by using subconsultants. Where subconsultants are used in the performance of the Contract, Proposer will indicate as required with the Response. Service Provider will be held responsible for all work performed or not performed by the subconsultant(s). subconsultant(s) will be required to bill through the Proposer.

If revisions are required in the subcontract assignment, new parties are to be proposed in advance of assignment, in writing to the Skagit Transit Procurement and Contracts Coordinator.

If applicable, Proposer will identify below all subconsultants who will perform services in fulfillment of Contract requirements, including their name, the nature of services to be performed, address, telephone number, email address, federal tax identification number (TIN), Washington State Unified Business Identifier (UBI), and DBE or SBE certification status:

SUBCONSULTANT 1

Name:

Telephone Number:

Services:

Address:

Telephone:

Email:

UBI:

FED ID:

Certified DBE:

SUBCONSULTANT 2

Name:

Telephone Number:

Services:

Address:

Telephone:

Email:

UBI:

FED ID:

Certified DBE:

REFERENCES

Proposer must provide a minimum of three (3) references for which the Proposer has provided services similar to those described in this Solicitation. References must not be from an individual, company or organization with any special interest, financial or otherwise, to the Proposer.

Reference 1

Company Name:

Type of Organization:

Contact Name:

Contact Name: Job Title: Job Title:

Street Address: Street Address:

City, State, Zip: City, State, Zip:

Telephone: Telephone:

Email: Email:

Brief Reference

Project Description:

Reference 2

Company Name:

Type of Organization:

Contact Name:

Contact Name: Job Title: Job Title:

Street Address: Street Address:

City, State, Zip: City, State, Zip:

Telephone: Telephone:

Email: Email:

Brief Reference

Project Description

Reference 3

Company Name:

Type of Organization:

Contact Name:

Contact Name: Job Title: Job Title:

Street Address: Street Address:

City, State, Zip: City, State, Zip:

Telephone: Telephone:

Email: Email:

Brief Reference

Project Description

CERTIFICATION REGARDING LOBBYING

The undersigned Service Provider certifies, to the best of his/her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal Contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal Contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal Contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form--LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions [as amended by "Government wide Guidance for New Restrictions on Lobbying," 61 Fed. Reg. 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, *et seq.*)]
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31, U.S.C. § 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. § 1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.]

The Service Provider, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Service Provider understands and agrees that the provisions of 31 U.S.C. A 3801, *et seq.*, apply to this certification and disclosure, if any.

Signature of Service Provider's Authorized Official

Date

Name and Title of Service Provider's Authorized Official

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS**

Required of Consultant's and Sub-consultants whose Contract participation is expected to exceed \$100,000.

☐ Not Applicable

The Consultant, _____, (insert name of company) certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or Contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated paragraph (2) of this certification; and
4. Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

(If the primary participant (applicant for an FTA grant, or cooperative agreement, or potential third party Service Provider) is unable to certify to any of the statements in this certification, the participant shall attach an explanation to this certification.)

THE CONSULTANT, _____, CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 U.S.C. SECTION 3801 ET. SEQ. ARE APPLICABLE THERETO.

Signature of Consultant's Authorized Official

Date

Name and Title of Consultant's Authorized Official

APPENDIX B - SOLICITATION STANDARDS

This document contains important information for Proposers applicable to the solicitation documents administered by Skagit Transit. This information applies directly, and is incorporated by reference, into the solicitation and contracts resulting from this solicitation. As such, Proposers do not need to attach this document with their response to the solicitation. It is the responsibility of the Proposer to read and fully understand the details of all items contained herein prior to submitting their Submittal.

DEFINITIONS

This section contains definitions of terms commonly used in Solicitations conducted by Skagit Transit.

Agency	Skagit Transit
Amendment or Addendum	A change to a legal document. For the purposes of a Solicitation document, an amendment is a unilateral change issued by Skagit Transit, at its sole discretion.
Authorized Representative	An individual designated by the Proposer to act on its behalf with the authority to legally bind the Proposer concerning the terms and conditions set forth in Solicitation and Proposal documents.
Bid	A sealed written offer to provide materials, supplies, services, and/or equipment in reply to a Request for Bids (RFB).
Business Days	Monday through Friday, 8:00 a.m. to 5:00 p.m., Pacific Time, except for holidays observed by the Skagit Transit, unless otherwise specified within the Solicitation document.
Calendar Days	Consecutive days of the year including weekends and holidays, each of which commence at 12:00:01 a.m. and end at Midnight, Pacific Time. When “days” are not specified, Calendar Days will prevail.
Contract	All types of agency agreements, regardless of what they may be called, for the procurement of supplies, services or construction
Contractor	Any person having a Contract with Skagit Transit.
Procurement Coordinator	The individual authorized by Skagit Transit who is responsible for conducting a specific Solicitation.

Proposal	A written offer to perform a Contract to supply materials, supplies, services, and/or equipment in reply to a Request For Proposals (RFP) or Request for Qualifications (RFQ).
Proposer	A Vendor who submits a Proposal in reply to a Solicitation.
RCW	Revised Code of Washington
Responsible	The ability, capacity, and skill to perform the Contract or provide the service required, including, but not limited to the character, integrity, reputation, judgment, experience, and efficiency of the Proposer. Further considerations may include, but are not limited to, whether the Proposer can perform the Contract within the time specified, the quality of performance of previous contracts or services, the previous and existing compliance by the Proposer with laws relating to the Contract or services and such other information as may be secured having a bearing on the decision to award the Contract.
Responsive	A Bid or Proposal that meets all material terms of the Solicitation document.
Response	A Bid or Proposal
Service Provider	Any person or company having a Contract with Skagit Transit.
Solicitation	The process of notifying prospective Bidders that Skagit Transit wants competitive Bids or Proposals for furnishing specified materials, supplies, services, and/or equipment. Also includes reference to the actual documents used for that process, including: the Invitation For Bids (IFB) or Request For Proposals (RFP) or Request for Qualifications (RFQ), along with all attachments and exhibits.
Subconsultant	A person or business that is, or will be, providing or performing an essential aspect of the Contract under the direction and responsibility of the subconsultant.
Submittal	A written offer to perform a Contract to supply materials, supplies, services, and/or equipment in reply to a Request for Proposals (RFP) or Request for Qualifications (RFQ).
Vendor	A provider of materials, supplies, services, and/or equipment.

1. AMENDMENTS

Skagit Transit reserves the right to amend this Solicitation at any time before the Submittal deadline. Amendments may be for any reason deemed necessary by Skagit Transit including, without limitation, changes in quantity, quality, delivery requirements, due date, procedures, baseline technical requirements, statement of work requirements, or selection criteria.

All amendments will be posted on [Skagit Transit's website @ www.skagittransit.org](http://www.skagittransit.org) It is the Proposer's sole responsibility to check periodically for addenda related to this Solicitation.

If there is any conflict between amendments, or between an amendment and the original Solicitation document, whichever document was issued last in time shall be controlling.

2. COLLUSION

If Skagit Transit determines that collusion has occurred among Proposers, none of the Proposals of the participants in the collusion will be considered. Skagit Transit's determination shall be conclusive.

3. ENVIRONMENTAL MANAGEMENT AND SUSTAINABILITY PRACTICES

Skagit Transit is a founding signatory with Gold Level recognition for the American Public Transportation Association Sustainability Commitment. Skagit Transit's Environmental & Sustainability Policy directs us to protect the environment and incorporate sustainability practices in all our operations. Skagit Transit has committed to putting core processes in place that set the basis for environmental, social and economic sustainability. Depending on the subject of a procurement and its relationship to Skagit Transit's commitment, bidders or proposers may be required to provide relevant information about the goods and services being procured or may be required to demonstrate their ability to comply with Skagit Transit's environmental management and sustainability practices.

4. ENVIRONMENTAL POLLUTION PREVENTION AND PUBLIC NATURAL RESOURCES PRESERVATION

The Successful Proposer will comply with applicable portions of the following statutes, ordinances and regulations and such other regulatory measures dealing with the prevention of environmental pollution and the preservation of public natural resources as may be subsequently identified by Skagit Transit or other public agencies as applicable to the work.

- A. Federal. Natural Environmental Policy Act of 1969, 42 USC 4321 et seq.; Executive Order 11514; Clean Water Act, 33 USC 1251 et seq.
- B. State. Water Pollution Control Act, Chapter 90.48 RCW; State Environmental Policy Act of 1971, Chapter 43.21C RCW and WAC Chapter 1997-10; Noise Control Act of 1974, Chapter 70.107 RCW; Washington Clean Air Act, Chapter 70.94 RCW and WAC Chapter 1; Shoreline Management Act of 1971, Chapter 90.58 RCW.
- C. Regional. Any applicable Air Pollution Control District regulations.
- D. Skagit County, Any applicable County ordinances and regulations.

E. Local. Any applicable City ordinances and regulations.

5. INSURANCE

The successful Proposer is required to obtain insurance to protect Skagit Transit should there be any claims, suits, actions, costs, or damages or expenses arising from any negligent or intentional act or omission of the Proposer or its Subconsultant(s), or their agents, while performing work under the terms of any Contract resulting from this Solicitation. Proposers will find a complete description of the specific insurance requirements in the sample Contract document located in Appendix C of the Solicitation document.

6. MINORITY, WOMEN, DISADVANTAGED AND SMALL BUSINESS ENTERPRISES

Skagit Transit is committed to maximum utilization of minority, women, and disadvantaged businesses enterprises (DBE), and small businesses enterprises (SBE) whether included in these categories or not. All businesses are encouraged to respond.

All interested firms are reminded to provide all potential business partners an equal, nondiscriminatory opportunity to compete for business as joint venture partners or subconsultants. Skagit Transit is interested in firms that demonstrate a commitment to equal employment opportunity and encourages firms to employ a workforce that reflects the region's diversity and to adhere to non-discrimination provisions in federal and state laws.

For more information on how to become certified as a disadvantaged business enterprise (DBE) or small business enterprise (SBE), please contact the Office of Minority and Women's Business Enterprises (OMWBE) at www.omwbe.wa.gov, call (360) 753-9693, or mail an inquiry to OMWBE at PO Box 41160, Olympia, WA 98504-1160.

Skagit Transit does not set Contract specific DBE or SBE participation goals.

7. NO COSTS OR CHARGES

Costs or charges under the proposed Contract incurred before the Contract is fully executed will be the sole responsibility of the Proposer.

8. NO OBLIGATION TO CONTRACT

This Solicitation does not obligate Skagit Transit to Contract for the service(s) or product(s) specified. Skagit Transit reserves the right to cancel or reissue this Solicitation in whole or in part, for any reason, prior to Contract award.

9. POLICY COMPLIANCE

Skagit Transit does not permit alcohol, drugs and weapons on Skagit Transit property. Tobacco products can only be used in designated areas. Service Provider is responsible to ensure that all employees and any Subconsultants assigned to work on Skagit Transit property are aware of and comply with these policies.

10. PROJECT PERSONNEL

Proposer represents that it has, or will obtain, all personnel necessary to perform the services required under this Solicitation. All services required under this Solicitation shall be performed by the Proposer, its employees, agents, representatives, or authorized Subconsultants.

Upon Contract award, Proposer shall not change assigned project personnel or subconsultants without prior Skagit Transit approval.

11. SUBMITTAL CLARIFICATION

Skagit Transit will make the sole determination of clarity and completeness in the Submittals relative to any of the provisions in this Solicitation. Skagit Transit reserves the right to require clarification, additional information and materials in any form relative to any or all of the provisions or conditions of this Solicitation.

To aid in the Response evaluation process, after Response deadline, Skagit Transit may require individual Proposers to appear at a date, time and place determined by Skagit Transit for the purpose of conducting discussions to determine whether both parties have a full and complete understanding of the nature and scope of contractual requirements. In no manner shall such action be construed as negotiations or an indication of Skagit Transit's intention to award.

12. COSTS

Proposer is solely liable for any and all costs associated with preparing, submitting or presenting a Submittal in response to this Solicitation. Skagit Transit is not liable for any cost incurred by the Proposer in the process of responding to this Solicitation.

13. SUBMITTAL DEADLINE POSTPONEMENT

Skagit Transit reserves the right to postpone the due date and time of Submittals at any time prior to the Submittal deadline.

14. SUBMITTAL OWNERSHIP

All Submittals and materials submitted in response to this Solicitation will become the property of Skagit Transit. Information in each Submittal will be shared with Skagit Transit employees and other persons involved in Submittal evaluation. Skagit Transit will have the right to use ideas or adaptations of ideas that are presented in the Submittal. Selection or rejection of the offer will not affect this right.

15. SUBMITTAL REJECTION

Skagit Transit reserves the right to reject any Submittal for any reason including, but not limited to, the following:

- A. Any Submittal which is incomplete, obscure, irregular or lacking necessary detail and specificity;
- B. Any Submittal which contains figures (price, percentage or others) that are not legible or subject to more than one interpretation;
- C. Any Submittal from Proposer(s) who (in the sole judgment of Skagit Transit) lack the qualification and/or responsibility necessary to perform the work properly;
- D. Any Submittal for which a Proposer fails or neglects to complete and submit any qualification information within the time specified by Skagit Transit; and
- E. Any Submittal submitted by a Proposer who is not registered or licensed as may be required by the laws of the state of Washington.

Skagit Transit further reserves the right to reject any or all Submittals, to waive any irregularities or informalities in any response to the Solicitation, and to accept any items or combination of items. In consideration for Skagit Transit's review and evaluation of its Submittal, the Proposer waives and releases any claims against Skagit Transit arising from rejection of any or all Submittals.

16. SUBMITTAL WITHDRAWAL OR MODIFICATION

A modification of a Submittal already received will be considered only if the modification is received prior to the Submittal deadline. All modifications shall be made in writing, executed and submitted in the same form and manner as the original Submittal. Notwithstanding the above, a late modification of an otherwise successful Submittal that makes its terms more favorable to Skagit Transit may be considered at any time it is received and may be accepted.

Proposers may withdraw their Submittal by written request to the Procurement Coordinator. The withdrawal request must be received by the Procurement Coordinator prior to Submittal deadline. Submittals may be withdrawn in person by the Proposer or an Authorized Representative, if the representative's identity is made known and the representative signs a receipt for the Submittal before the Submittal deadline.

No Proposer may withdraw a Submittal after the Submittal deadline, or before the award and execution of the Contract, unless the award is delayed for a period exceeding ninety (90) days from the Submittal deadline. Skagit Transit reserves the right to request that Proposers grant an extension of such effective period.

No consideration shall be given by Skagit Transit to a claim of error in a Submittal unless written notice of such claim and supporting evidence of such claim, including cost breakdown sheets, are delivered to Skagit Transit within forty-eight (48) hours after the opening of Submittals. Any review by Skagit Transit of a Submittal and/or any review of such a claim of error (including supporting evidence) creates no duty or liability on Skagit Transit to discover any other error or mistake, and the sole liability for any Submittal errors rests with the Proposer.

17. PROPRIETARY OR CONFIDENTIAL INFORMATION

Skagit Transit will not disclose any information contained in competing Submittals or the number of Submittals received until after Contract award. Skagit Transit will keep information submitted through this process confidential to the extent allowed by state or federal law.

All information contained in the Submittal is subject to the state of Washington Public Records Act, RCW Chapter 42.56, and may be subject to public inspection. It should be noted that RCW 42.56.270(1) states that the following information is exempt from disclosure: "Valuable formulae, designs, drawings, computer source code or object code, and research data obtained by any agency within five years of the request for disclosure when disclosure would produce private gain and public loss."

Proposers, who include data in their Submittals which conforms to the above limitations and that they do not want disclosed to the public for any purpose or used by IT, except for in evaluation purposes, must indicate any pages and/or sections of the Response that

Proposer desires to claim as proprietary in the “Proprietary or Confidential Information” portion of the Proposer Information section of the Submittal Document.

18. PROTEST PROCEDURES

Protests shall be filed and resolved in accordance with following procedure:

- A. **Right to Protest.** Any actual or prospective Proposer, including sub-Service Providers and suppliers showing a substantial economic interest in this project, who is aggrieved in connection with the solicitation or award of this project, shall file a protest with the Skagit Transit Executive Director. A protest with respect to a Request for Qualifications and Qualifications shall be submitted in writing prior to the established Submittal deadline unless the aggrieved person did not know the facts giving rise to such protest prior to Submittal date. The protest shall be submitted within seven calendar days after such aggrieved person knows or should have known of the facts giving rise thereto. If the protester is not satisfied with the solution of the Executive Director, a written protest may be filed with the Skagit Transit Authority. Protests filed more than seven calendar days following the receipt of the Executive Director written determination will not be accepted.
- B. **Stay of Procurements During Protests.** In the event of a timely protest under subsection 1 of this Section, the Executive Director shall not proceed further with the solicitation or award of the Contract until all administrative and judicial remedies have been exhausted or until the Skagit Transit Authority makes a determination on the record that the award of a Contract without delay is necessary to protect substantial interests of Skagit Transit.
- C. **Entitlement of Costs.** In addition to any other relief, when a protest is sustained and the protesting Proposer should have been awarded the Contract under the solicitation, but is not, then the protesting Proposer shall be entitled to the reasonable costs incurred in connection with the solicitation, including preparation costs, other than attorney’s fees.
- D. **Process.** In order to be considered, a protest shall be in writing and shall include:
 - 1. The name and address of the aggrieved person;
 - 2. The project number and title under which the protest is submitted;
 - 3. A detailed description of the specific grounds for protest and any supporting documentation; and
 - 4. The specific ruling or relief requested.

The written protest will be addressed to Skagit Transit, 600County Shop Lane, Burlington, WA 98233, Attention: Executive Director, Proposal Protest. Failure to comply with these protest procedures shall render a protest untimely or inadequate and may result in rejection thereof by Skagit Transit.

The Federal Transit Administration (FTA) may entertain a protest that alleges that Skagit Transit failed to have or follow written protest procedures. Such protest must be filed with FTA not later than five (5) days after Skagit Transit renders a final decision or five (5) days after the protester knows or has reason to know that Skagit Transit has failed to render a final decision. A protest to FTA must be filed in accordance with FTA Circular 4220.1F (as periodically updated).

19. WORK SITE INSPECTION

Proposer must understand and inspect the work location(s). Interested Proposers may visit the location during the scheduled Pre-Submittal Conference. By signing its Submittal, Proposer is accepting the work conditions. The Awarded Service Provider will be responsible to complete all required work in accordance with the Solicitation and Contract documents. If during the course of or as a result of the inspection and examination, a Proposer finds facts or conditions which appear to conflict with the letter or spirit of the Solicitation and Contract documents, or with any other data or material made available to the Proposer relating to the work, the Proposer will promptly notify Skagit Transit in writing and request additional information and explanation before submitting a Submittal.

20. LOBBYING

Service Providers who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal Contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal Contract, grant or award covered by 31 U.S.C. 1352. Such disclosures are forwarded from tier to tier up to the recipient.

21. SUSPENSION AND DEBARMENT

- A. Pursuant to Executive Order 12549, as implemented by 49 CFR Part 29, entities and individuals who are debarred or suspended by the Federal Government are excluded from obtaining Federal assistance funds under this Contract. To assure that such entities and individuals are not involved as participants on the FTA-financed Contract, each Proposer shall complete and submit, as part of its Submittal, the certification contained in the Contract Documents for itself and its principals. If the Proposer is unable to provide a positive certification, it must submit a complete explanation, attached to the certification form, of why it cannot provide the certification. Failure to submit a certification or explanation may disqualify the Proposer from participation under this Submittal. Skagit Transit, in conjunction with FTA, will consider the certification or explanation in determining award of a Contract.
- B. This certification is a material representation of fact upon which reliance is placed in determination of award of Contract. If at any time the Proposer learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances, it shall immediately provide written notice to Skagit Transit. If it is later determined that the Proposer knowingly rendered an erroneous certification, Skagit Transit may terminate the Contract for cause of default, in addition to other remedies available, including FTA suspension and/or debarment.
- C. By submitting a Proposal for this Contract, the Proposer agrees that should it be awarded the Contract, it shall not knowingly enter into any subcontract exceeding

\$25,000 with an entity or person who is debarred, suspended or has been declared ineligible from obtaining Federal assistance funds. The Proposer, if awarded the Contract, shall require each Subcontractor and all lower tier Subcontractors to complete the certificate.

- D. Each subcontract, regardless of tier, shall contain a provision that the Subcontractor shall not knowingly enter into any lower tier subcontract with a person or entity who is debarred, suspended or declared ineligible from obtaining Federal assistance funds. The Service Provider shall require that each Subcontractor regardless of tier, immediately provide written notice to the Service Provider if at any time the Subcontractor learns that a lower-tier certification was erroneous when submitted or has become erroneous by reason of changed circumstances. The Service Provider may rely upon the certifications of the Subcontractor unless it knows that the certification is erroneous. The Service Provider's knowledge and information regarding any Subcontractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business.

APPENDIX C - SAMPLE CONTRACT

SKAGIT TRANSIT CONTRACT NO. 19-013-F FOR CONSTRUCTION MANAGEMENT AND INSPECTION SERVICES

THIS CONTRACT is made and entered into in duplicate this ____ day of ____, 2019 by and between SKAGIT TRANSIT, a Washington municipal corporation, hereinafter referred to as "Skagit Transit", and the below named company, hereinafter referred to as "Service Provider", for the purpose of providing Construction Management and Inspection Services.

Service Provider:

Authorized Rep:

Address:

Phone:

Email:

Federal TIN:

WA State UBI No.:

1. RECITALS

WHEREAS, Skagit Transit desires to have certain services and/or tasks performed as hereinafter set forth requiring specialized skills and other supportive capabilities; and

WHEREAS, sufficient Skagit Transit resources are not available to provide such services; and

WHEREAS, Service Provider represents that Service Provider is qualified and possesses sufficient skills and the necessary capabilities, including technical and professional expertise where required, to perform the services and/or tasks set forth in this Contract.

NOW, THEREFORE, in consideration of the terms, conditions, covenants and performance contained herein, Skagit Transit and Service Provider agree as follows:

2. PURPOSE

The purpose of this Contract is to enter into a Contract for Construction Management and Inspection Services.

3. SCOPE OF SERVICES

- A. Service Provider will perform such services and comply with the requirements set forth in this Contract document, including furnishing all materials and equipment necessary to

complete the Construction Management and Inspection Services, Project No. 19-013F, and as identified in the following attached Exhibits which are incorporated by reference:

1. Contract #19-013F, Statement of Work, attached as Exhibit "A"
 2. Service Provider's Rate Schedule and Fees, attached as Exhibit "B"
 3. Request for Qualifications (RFQ) #19-013F, Released July 11, 2019, attached as Exhibit "C"
 4. Service Provider's Response to RFQ #19-013F, dated September 4, 2019 attached as Exhibit "D"
- B. In the case of any inconsistency between the Service Provider's response to RFQ #19-013F and the Scope of Work and Specifications described herein, the latter shall control.

4. ADMINISTRATION AND SUPERVISION

- A. The work and services under this Contract is subject to certain federal laws, regulations, and other requirements in effect on the date of execution of this Contract. Skagit Transit and the Service Provider agree that such federal laws, regulations, and other requirements supersede any conflicting provisions of this Contract.
- B. The Service Provider represents that it has, or will obtain, all personnel necessary to perform the services required under this Contract and that such personnel are qualified, experienced, and licensed as may be necessary or required by laws and regulations to perform such services. All services required under this Contract must be performed by the Service Provider, its employees, or by subconsultants whose selection has been authorized by Skagit Transit; provided, that Skagit Transit's authorization will not relieve the Service Provider or its subconsultants from any duties or obligations under this Contract or at law to perform in a satisfactory and competent manner. The Service Provider will remove from the Project any of its subconsultants or personnel assigned to the Project if, after the matter has been thoroughly considered by Skagit Transit and the Service Provider. Skagit Transit considers such removal necessary and in the best interests of the Project and so advises the Service Provider in writing.
- C. The Project must be coordinated and integrated with other Skagit Transit activities.

5. DURATION OF CONTRACT

- A. The term of this Contract and the performance called for herein shall begin on the effective date of this Contract, and shall terminate on ____20, unless otherwise terminated according to the provisions herein. Skagit Transit reserves the option to extend this Contract in the event that requirements change or work is not completed by the expected termination date. Extension for any additional term may be offered and the sole discretion of Skagit Transit and will be subject to written mutual agreement.

6. COMPENSATION AND METHOD OF PAYMENT

- A. Skagit Transit shall reimburse the Service Provider for satisfactory completion of the services and requirements specified in this Contract in a maximum amount not to exceed \$_____, as described in Exhibit "___".

This is a cost reimbursement, cost plus fixed-fee Contract. Skagit Transit will pay Service Provider a fixed fee (profit) of \$___ based on the negotiated Scope of Work identified in Exhibit ___. Service Provider understands and agrees that it will not earn additional fee if it exceeds the negotiated labor hours. If the Scope of Work changes, as determined by Skagit Transit, then Skagit Transit and Service Provider may negotiate additional fixed fee. Each invoice will document the completed work percentage. Service Provider will break down invoices to show the current invoice amount with its associated fixed fee, past invoiced amount and its associated fixed fee, and the remaining budget and fixed fee yet to be invoiced.

- B. The Service Provider may request monthly progress payments based upon the amount of work completed for each of the deliverables required by the Scope of Work and Specifications of Exhibit “___”. Each request for a progress payment will include:
 - 1. The period of time covered by the request for payment.
 - 2. A brief description of the work completed for each deliverable during the period of time including any milestones accomplished.
 - 3. The total percentage of work completed to date for each deliverable.
- C. The Service Provider shall submit its final invoice and such other documents as are required pursuant to this Contract within ten (10) calendar days of completion of the Scope of Services. Unless waived by Skagit Transit in writing, failure by the Service Provider to submit the final invoice and required documents will relieve Skagit Transit from any and all liability for payment to the Service Provider for the amount set forth in such invoice or any subsequent invoice.
- D. If the Service Provider fails to comply with any terms or conditions of this Contract or to provide in any manner the work or services agreed to herein, Skagit Transit may withhold any payment due the Service Provider until Skagit Transit is satisfied that corrective action, as specified by Skagit Transit, has been completed. This right is in addition to and not in lieu of Skagit Transit’s right to terminate this Contract as provided in Section 28 below.

7. BILLING PROCEDURES

- A. Payments for services and materials provided under this Contract will be made on not more than a monthly basis, following the full performance and acceptance of such service and materials. No payment will be made for any service rendered or material provided by Service Provider except for services and materials identified and set forth in this Contract unless otherwise permitted by law and approved in writing by Skagit Transit.

Service Provider will submit an invoice for services rendered to Skagit Transit on forms approved by Skagit Transit. Invoices should be submitted electronically to kborden@skagittransit.com. If Service Provider is unable to submit invoices electronically, invoices may be submitted by mail to:

Skagit Transit
Attn: Kelly Borden

600 County Shop Lane
Burlington, WA 98233

- B. Skagit Transit will submit payment for stated services to Service Provider within thirty (30) days following Skagit Transit's receipt of each accurate and complete invoice. No payments in advance of, or in anticipation of, receipt of goods or services to be provided under this Contract will be made by Skagit Transit.

8. SERVICE PROVIDER COMMITMENTS

Any written commitment by Service Provider within the scope of this Contract will be binding upon Service Provider.

9. PROJECT MANAGEMENT

The individuals listed below, or their successors will be the main points of contact for services provided under this Contract. Skagit Transit's Project Manager or his/her successor is responsible for monitoring Service Provider's performance and will be the contact person for all communications regarding Contract performance and deliverables. Skagit Transit's Executive Director has the authority to accept or reject the services provided and if satisfactory, certify acceptance of each invoice submitted for payment. Notifications regarding changes to this section must be in writing and maintained in the project file, but will not require a formal Contract amendment.

Service Provider's Project Manager	SkagitTransit Executive Director
First Last Company Street Address City, State Zip Phone: Email address:	Dale O'Brien Skagit Transit Phone: (360) 757-8801 Email address: Dobrien@SkagitTransit.org

10. CONTRACT ADMINISTRATION

The individual listed below, or his/her successor, will be Contract Administrator for this Contract. The Contract Administrator is designated to receive legal notices, invoices, and to administer, amend, or terminate this Contract. All Contract documents under this Contract will be processed by the Contract Administrator and submitted to Service Provider for acceptance. Contact information for the Contract Administrator is:

Elisa Rizzo
Skagit Transit
600 County Shop Lane
Burlington, WA 98233
Phone: (360) 757-1378

Email address: erizzo@skagittransit.org

11. CHANGES

Either party may request changes to the scope of services and performance to be provided under this Contract; however, no change or addition to this Contract will be valid or binding upon either party unless such change or addition is in writing and signed by both parties. All amendments will be attached to and incorporated into this Contract.

12. PROJECT PERSONNEL

Service Provider represents that it has, or will obtain, all personnel necessary to perform the services required under this Contract. Service Provider agrees that the key personnel identified in its Submittal will be committed by Service Provider to this project for its duration. Substitution or replacement of key personnel will be subject to Skagit Transit's following written request by the Service Provider. All services required under this Contract will be performed by the Service Provider, its employees, agents, representatives, or authorized subcontractors.

13. INDEPENDENT SERVICE PROVIDER RELATIONSHIP

- A. The parties intend that an independent Service Provider relationship between Service Provider and Skagit Transit will be created by this Contract. Skagit Transit is interested primarily in the results to be achieved; subject to the provisions herein, the implementation of services will lie solely with the discretion of Service Provider. No agent, employee, servant or representative of Service Provider shall be deemed to be an employee, agent, servant or representative of Skagit Transit for any purpose, and the employees of Service Provider are not entitled to any of the benefits Skagit Transit provides to its employees. Service Provider will be solely and entirely responsible for its acts and for the acts of its agents, employees, servants, subcontractors or representatives during the performance of this Contract.
- B. In the performance of the services herein contemplated, Service Provider is an independent Service Provider with the authority to control and direct the performance of the details of the work, however, the results of the work contemplated herein must meet the approval of Skagit Transit and shall be subject to Skagit Transit's general rights of inspection and review to secure the satisfactory completion thereof.

14. NON-EXCLUSIVE PROVIDER

Skagit Transit reserves the right to obtain services or supplies not specifically covered by this Contract from other providers as deemed in the best interest of Skagit Transit.

15. ASSIGNMENT

Service Provider will not assign its performance under this Contract, or any portion of this Contract, without the written consent of Skagit Transit. Service Provider must seek consent from Skagit Transit, in writing, not less than thirty (30) days prior to the date of any proposed assignment. Skagit Transit reserves the right to reject any assignment without cause.

16. HOLD HARMLESS AND INDEMNIFICATION

Service Provider shall defend, protect, indemnify and hold harmless Skagit Transit and its officers, employees and agents from and against any and all claims, suits, actions, damages, and liability whatsoever, which Skagit Transit may incur by reason of any act, omission or default on the part of Service Provider; its employees, officers or agents, whether negligent or not; provided, however, that if such liability is caused by or results from the concurrent negligence of Skagit Transit, its officers, employees and/or agents, and Service Provider or Service Provider's employees and agents, this provision shall be valid and enforceable only to the extent of Service Provider's negligence; and provided further, that nothing herein shall require Service Provider to hold harmless or defend Skagit Transit, its officers, employees and agents, from any claims arising from the sole negligence of Skagit Transit, its officers, employees and/or agents.

The obligation to defend includes the payment of all reasonable attorney's fees and costs of Skagit Transit's defense of any claim, suite or action within the scope of this Section. Service Provider specifically waives any immunity it may have under Title 51 RCW for purposes of claim, suit or action by Skagit Transit under this Section. Service Provider and Skagit Transit have mutually negotiated this waiver.

17. INSURANCE

- A. Insurance Requirements – Service Provider shall obtain and maintain the minimum insurance set forth below. By requiring such minimum insurance, Skagit Transit shall not be deemed or construed to have assessed the risks that may be applicable to the Service Provider under this Contract. The Service Provider shall assess its own risks and, if it deems appropriate and/or prudent, maintain greater limits and/or broader coverage.
1. *Commercial General Liability Insurance* on an occurrence basis in an amount not less than \$1,000,000 per occurrence and at least \$2,000,000 in the annual aggregate, including but not limited to: premises/operations (including off-site operations), blanket contractual liability and broad form property damage.
 2. *Business Automobile Liability Insurance* in an amount not less than \$1,000,000 per occurrence, extending to any automobile, including owned, non-owned and hired vehicles.
 3. *Workers' Compensation Insurance* as required by Washington State law and *Employer's Liability Insurance (Stop Gap)* with limits not less than \$1,000,000 per occurrence.
 4. *Professional Liability Insurance* coverage with limits of not less than \$500,000 per claim/annual aggregate throughout the duration of this Contract and for a period of two (2) years thereafter.
- B. Additional Requirements – Service Provider shall provide to Skagit Transit Certificates of Insurance and copies of policies, if requested, prior to commencement of work. All policies of insurance shall:

- i. Contain an endorsement specifically naming Skagit Transit its officers, officials, agents, and employees, as Additional Insureds.
- ii. Be on a primary basis and not contributory with any other insurance coverages and/or self-insurance carried by Skagit Transit.
- iii. Include a Waiver of Subrogation clause.
- iv. Include a Severability of Interest clause (cross liability).
- v. Not be non-renewed, cancelled, or materially changed or altered unless thirty days prior written notice is provided to Skagit Transit.
- vi. Be provided on forms and by insurance companies satisfactory to Skagit Transit.

No provision in this paragraph shall be construed to limit the liability of the Service Provider for work not done in accordance with the Contract, or express or implied warranties. The Service Provider's liability for the work shall extend as far as the appropriate periods of limitation provided by law.

- C. Worker's Compensation – The Service Provider and its subcontractors shall maintain worker's compensation insurance in the amount and type required by law for all employees employed under this Contract who may come within the protection of worker's compensation laws. The Service Provider shall make all payments arising from the performance of this Contract due the State Washington pursuant to Titles 50 and 51 RCW.
- D. Evidences and Cancellation of Insurance – Prior to execution of the Contract, the Service Provider shall file with Skagit Transit evidences of insurance from the insurer(s) certifying to the coverage of all insurance required herein. All evidences of insurance must be certified by a properly authorized officer, agent, general agent or qualified representative of the insurer(s) and shall certify the name of the insured, the type and amount of insurance, the location and operations to which the insurance applies, the expiration date, and that the insurer(s) shall give, by registered mail, notice to Skagit Transit at least thirty days prior to the effective date of any cancellation, lapse, or material change in the policy. Any failure to mail such notice shall not relieve the insurance company, its agents, or representatives from obligations and/or liability hereunder.
- E. The Service Provider shall, upon demand of Skagit Transit, deliver to Skagit Transit all such policy or policies of insurance and the receipts for payment of premiums thereon; and should the Service Provider neglect so to obtain and maintain in force any such insurance or deliver such policy or action plan to acquire such insurance and/or deliver policies and receipts within three days or before any further performance hereunder, whichever is first. Failure to provide such insurance in a timeframe acceptable to Skagit Transit shall enable Skagit Transit to suspend or terminate the Service Provider's work. Suspension or termination of this Contract shall not relieve the Service Provider from its insurance obligations hereunder.

18. CONSULTANT ACQUISITION AND/OR MERGER

- A. If the Service Provider executing this Contract ceases to exist as an independent business entity by means of acquisition by and/or merger with a successor or otherwise, the Service Provider must notify Skagit Transit in writing not less than 30 calendar days prior to the effective date of the circumstance causing the cessation of the independent business status. Skagit Transit reserves the right to take steps to ensure it has contractual privity with the successor. The Service Provider must cooperate with this effort by agreeing to an assignment, a novation, or other document required to transfer the rights and responsibilities of the Service Provider to the successor. The successor will be required to be bound to the same degree that the Service Provider was bound to the obligations of this Contract.

19. TREATMENT OF ASSETS

- A. Title to all property furnished by Skagit Transit shall remain in the name of Skagit Transit and Skagit Transit shall become the owner of the work product and other documents, if any, prepared by Service Provider pursuant to this Contract, unless otherwise expressly provided herein.
- B. Work product shall include, but not be limited to, research, reports, computer programs, manuals, drawings, recordings, photographs, artwork and any data or information in any form; the Service Provider and Skagit Transit intend that such work product shall be deemed "work made for hire" of which Skagit Transit shall be deemed the author.

20. COMPLIANCE WITH LAWS

- A. Service Provider, in the performance of this Contract, shall comply with all applicable federal, state or local laws and ordinances, including regulations for licensing, certification and operation of equipment, and licensing of individuals, and any other standards or criteria described in this Contract to assure quality of services.
- B. Service Provider agrees to pay any applicable business and occupation (B&O) taxes which may be due on account of this Contract.
- C. If sales tax becomes applicable to the professional services covered by this Contract, Skagit Transit shall pay such tax to Service Provider, and Service Provider shall pay the tax as required by law.

21. POLITICAL ACTIVITY PROHIBITED

None of the funds, materials, property or services provided directly or indirectly under this Contract shall be used for any partisan political activity, or to further the election or defeat of any candidate for public office.

22. PROHIBITED INTEREST

No Authority member, officer or employee of Skagit Transit shall have any personal interest, direct or indirect, in this Contract or the proceeds thereof.

23. NONDISCRIMINATION

- A. Equal Opportunity. Skagit Transit is an equal opportunity employer.
- B. Nondiscrimination in Employment. In the performance of this Contract, Service Provider will not discriminate against any employee or applicant for employment on the grounds of race, creed, color, national origin, sex, marital status, age or the presence of any sensory, mental or physical handicap; provided that the prohibition against discrimination in employment because of handicap shall not apply if the particular disability prevents the proper performance of the particular work involved. Service Provider shall ensure that applicants are employed, and that employees are treated during employment, without regard to their race, creed, color, national origin, sex, marital status, age or the presence of any sensory, mental or physical handicap. Such action shall include, but not be limited to: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation and programs for training including apprenticeships. Service Provider shall take such action with respect to this Contract as may be required to ensure full compliance with Chapter 49.60 Revised Code of Washington, Law against Discrimination.
- C. Nondiscrimination in Services. Service Provider will not discriminate against any recipient of any services or benefits provided for in this Contract on the grounds of race, creed, color, national origin, sex, marital status, age or the presence of any sensory, mental or physical handicap.
- D. Nondiscrimination in Sub-Contracting. If any assignment and/or subcontracting have been authorized by Skagit Transit, said assignment or subcontract shall include appropriate safeguards against discrimination. Service Provider shall take such action as may be required to ensure full compliance with the provisions in the immediately preceding paragraphs herein and further agrees to insert a similar provision in all subcontracts, except subcontracts for standard commercial supplies or raw materials.

24. GRATUITIES AND KICKBACKS

- A. Gratuities. It shall be a breach of ethical standards for any person to offer, give or agree to give any Skagit Transit Authority member, officer, employee, agent or former Authority member, officer, employee, or agent or for any Authority member, officer, employee, agent or former Authority member, officer, employee or agent to solicit, demand, accept, or agree to accept from another person, a gratuity or an offer of employment in connection with any decision, approval, disapproval, recommendation, preparation of any part of a program requirement or a purchase request, influencing the content of any specification or procurement standard, rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim or controversy, or other particular matter, pertaining to any program requirement or a Contract or subcontract, or to any solicitation or proposal therefore.
- B. Kickbacks. It shall be a breach of ethical standards for any payment, gratuity, or offer of employment to be made by or on behalf of a subcontractor under a Contract to the

prime Service Provider or higher tier subcontractor or any person associated therewith, as an inducement for the award of a subcontract or order.

25. LIENS, CLAIMS AND ENCUMBRANCES

Service Provider certifies that all materials, equipment or services shall be free of all liens, claims, or encumbrances of any kind, and if Skagit Transit requests, a formal release of same shall be provided.

26. MAINTENANCE AND INSPECTION OF RECORDS

- A. Service Provider shall maintain books, records, documents which sufficiently and properly reflect all direct and indirect costs related to the performance of this Contract and shall undertake such accounting procedures and practices as may be deemed necessary to assure proper accounting of all funds paid pursuant to this Contract. These records shall be subject at all reasonable times to inspection, review or audit, by Skagit Transit, its authorized representative, the State Auditor or any of their authorized representatives or other governmental officials authorized by law to monitor this Contract.
- B. Service Provider shall retain all books, records, documents and other material relevant to this Contract for five (5) years after Skagit Transit's final payment and all other pending matters are closed. Service Provider agrees that Skagit Transit or its designee shall have full access and right to examine any of said materials at all reasonable times during said period.
- C. Service Provider's fiscal management system shall include the capability to provide accurate, current and complete disclosure of the financial status of this Contract upon request.

27. DISPUTES

Disputes arising in the performance of this Contract which are not resolved by agreement of the parties will be decided by arbitration if the parties mutually agree, or in the Superior Court of Skagit County, Washington, which shall have exclusive jurisdiction and venue over all matters in question between Skagit Transit and Service Provider.

28. TERMINATION

- A. Termination for Convenience or Default. Skagit Transit may terminate the Contract, in whole or in part, for Skagit Transit's convenience or because of the failure of Service Provider to fulfill the Contract obligations. Skagit Transit shall terminate by delivering to Service Provider a Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, Service Provider shall (1) immediately discontinue all services affected (unless the notice directs otherwise or Skagit Transit has granted Service Provider a cure period as set forth in (B) below), and (2) deliver to Skagit Transit all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated in performing this Contract, whether completed or in process.

- i. If the termination is for the convenience of Skagit Transit, Skagit Transit shall make an equitable adjustment in the Contract price such that all amounts due to Service Provider for Products delivered and services performed are paid, but shall allow no anticipated profit on unperformed services.
 - ii. If the termination is for failure of Service Provider to fulfill the Contract obligations, the Service Provider shall only be paid for work delivered and accepted unless such work does not fulfill the Contract obligations. Skagit Transit may complete the work by Contract or otherwise and Service Provider shall be liable for any additional cost incurred by Skagit Transit.
 - iii. If, after termination for failure to fulfill Contract obligations, it is determined that Service Provider was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of Skagit Transit.
- B. Opportunity to Cure. Skagit Transit in its sole discretion may, in the case of a termination for breach or default, allow Service Provider a commercially reasonable period of time in which to cure the defect. In such case, the notice of termination will state the time period in which cure is permitted and other appropriate conditions.
 - i. If Service Provider fails to remedy to Skagit Transit's satisfaction, the breach or default or any of the terms, covenants, or conditions of this Contract within ten (10) days after receipt by Service Provider of written notice from Skagit Transit setting forth the nature of said breach or default, Skagit Transit shall have the right to terminate the Contract without any further obligation to Service Provider, except that Service Provider shall be paid for work delivered and accepted unless such work does not fulfill the Contract obligations. Any such termination for default shall not in any way operate to preclude Skagit Transit from also pursuing all available remedies against Service Provider and its sureties for said breach or default.

29. WAIVER OF REMEDIES FOR ANY BREACH

In the event that Skagit Transit elects to waive its remedies for any breach by Service Provider of any covenant, term or condition of this Contract, such waiver by Skagit Transit shall not limit Skagit Transit's remedies for any succeeding breach of that or of any other term, covenant, or condition of this Contract.

30. NOTICE

Notice provided for in this Contract shall be sent by certified mail to the addresses designated for the parties on the last page of this Contract.

31. ATTORNEYS FEES AND COSTS

If any legal proceeding is brought for the enforcement of this Contract, or because of an alleged dispute, breach, default, or misrepresentation in connection with any of the provisions of this Contract, the prevailing party shall be entitled to recover from the other party, in addition to any other relief to which such party may be entitled, reasonable attorney's fees and costs incurred in such action or proceeding.

32. APPLICABILITY OF FEDERAL GRANT CONTRACT

- A. This procurement may be subject to one or more financial assistance contracts between Skagit Transit and the U.S. Department of Transportation, which incorporate the current FTA Master Agreement and Circular 4220.1F, as amended. The Service Provider is required to comply with all terms and conditions prescribed for third party contracts in these documents.
- B. Federal laws, regulations, policies and administrative practices may be modified or codified after the date this Agreement is established and may apply to this Agreement. To assure compliance with changing federal requirements, Contract Award indicates that the Service Provider agrees to accept all changed requirements that apply to this Agreement.

33. INCORPORATION OF FEDERAL SKAGIT TRANSIT ADMINISTRATION (FTA) TERMS

- A. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F, as amended, and the Master Grant Agreement, as amended are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Agreement. The Service Provider shall not perform any act, fail to perform any act, or refuse to comply with any Skagit Transit request that would cause Skagit Transit to be in violation of the FTA terms and conditions.
- B. The FTA Master Agreement obligates Skagit Transit to incorporate certain provisions into this Agreement and any lower tier subcontracts at any level and to take appropriate measures to ensure that Service Provider and its lower tier subconsultants at any level comply with certain applicable requirements set forth in the Master Agreement. The FTA Master Agreement is hereby incorporated by reference into this Agreement, and Service Provider shall comply with all such requirements.

34. FLY AMERICA REQUIREMENTS

The Service Provider agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in The Service Provider agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in accordance with the General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and subrecipients of Federal funds and their Service Providers are required to use U.S. Flag air carriers for U.S Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Service Provider shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Service Provider agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

35. SEISMIC SAFETY REQUIREMENTS

The Service Provider agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation Seismic Safety Regulations 49 CFR Part 41 and will certify to compliance to the extent required by the regulation. The Service Provider also agrees to ensure that all work performed under this Contract including work performed by a subcontractor is in compliance with the standards required by the Seismic Safety Regulations and the certification of compliance issued on the project.

36. ENERGY CONSERVATION

The Service Provider agrees to comply with mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

37. CLEAN WATER

The Service Provider agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq. The Service Provider agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.

The Service Provider also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.

38. LOBBYING

Service Providers who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal Contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal Contract, grant or award covered by 31 U.S.C. 1352. Such disclosures are forwarded from tier to tier up to the recipient.

39. ACCESS TO RECORDS AND REPORTS

Access to Records - The following access to records requirements apply to this Contract:

1. Where the Purchaser is not a State but a local government and is the FTA Recipient or a subgrantee of the FTA Recipient in accordance with 49 C. F. R. 18.36(i), the Service Provider agrees to provide the Purchaser, the FTA Administrator, the Comptroller General of the United States or any of their authorized representatives access to any books, documents, papers and records of the Service Provider which are directly pertinent to this Contract for the purposes of making audits, examinations, excerpts and transcriptions. Service Provider also agrees, pursuant to 49 C. F. R. 633.17 to provide the

FTA Administrator or his authorized representatives including any PMO Service Provider access to Service Provider's records and construction sites pertaining to a major capital project, defined at 49 U.S.C. 5302(a)1, which is receiving federal financial assistance through the programs described at 49 U.S.C. 5307, 5309 or 5311.

2. Where the Purchaser is a State and is the FTA Recipient or a subgrantee of the FTA Recipient in accordance with 49 C.F.R. 633.17, Service Provider agrees to provide the Purchaser, the FTA Administrator or his authorized representatives, including any PMO Service Provider, access to the Service Provider's records and construction sites pertaining to a major capital project, defined at 49 U.S.C. 5302(a)1, which is receiving federal financial assistance through the programs described at 49 U.S.C. 5307, 5309 or 5311. By definition, a major capital project excludes contracts of less than the simplified acquisition threshold currently set at \$100,000.
3. Where the Purchaser enters into a negotiated Contract for other than a small purchase or under the simplified acquisition threshold and is an institution of higher education, a hospital or other non-profit organization and is the FTA Recipient or a subgrantee of the FTA Recipient in accordance with 49 C.F.R. 19.48, Service Provider agrees to provide the Purchaser, FTA Administrator, the Comptroller General of the United States or any of their duly authorized representatives with access to any books, documents, papers and record of the Service Provider which are directly pertinent to this Contract for the purposes of making audits, examinations, excerpts and transcriptions.
4. Where any Purchaser which is the FTA Recipient or a subgrantee of the FTA Recipient in accordance with 49 U.S.C. 5325(a) enters into a Contract for a capital project or improvement (defined at 49 U.S.C. 5302(a)1) through other than competitive bidding, the Service Provider shall make available records related to the Contract to the Purchaser, the Secretary of Transportation and the Comptroller General or any authorized officer or employee of any of them for the purposes of conducting an audit and inspection.
5. The Service Provider agrees to permit any of the foregoing parties to reproduce by any means whatsoever or to copy excerpts and transcriptions as reasonably needed.
6. The Service Provider agrees to maintain all books, records, accounts and reports required under this Contract for a period of not less than three years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case Service Provider agrees to maintain same until the Purchaser, the FTA Administrator, the Comptroller General, or any of their duly authorized representatives, have disposed of all such litigation, appeals, claims or exceptions related thereto. Reference 49 CFR 18.39(i)(11).
7. FTA does not require the inclusion of these requirements in subcontracts.

40. FEDERAL CHANGES

Service Provider shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Master Agreement between Purchaser and FTA, as they may be amended or

promulgated from time to time during the term of this Contract. Service Provider's failure to so comply shall constitute a material breach of this Contract.

41. CLEAN AIR

- A. The Service Provider agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. §§ 7401 et seq. The Service Provider agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.
- B. The Service Provider also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.

42. FEDERAL FUNDING

Service Provider understands that Skagit Transit may use funds to pay for the Service Provider's performance under this Contract made available from the United States Department of Transportation through the Federal Skagit Transit Administration (FTA). All funds must be approved and administered by FTA. Skagit Transit's obligation hereunder is payable from funds that are appropriated and allocated by FTA for the performance of this Contract. If funds are not allocated, or ultimately are disapproved by FTA, Skagit Transit may terminate or suspend Service Provider's services without penalty. Skagit Transit shall notify Service Provider promptly in writing of the non-allocation, delay, or disapproval of funding.

43. NO GOVERNMENT OBLIGATION TO THIRD PARTIES

- A. The Purchaser and Service Provider acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying Contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities to the Purchaser, Service Provider, or any other party (whether or not a party to that Contract) pertaining to any matter resulting from the underlying Contract.
- B. The Service Provider agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

44. PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS

- A. The Service Provider acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § § 3801 et seq. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying Contract, the Service Provider certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may

make, or causes to be made, pertaining to the underlying Contract or the FTA assisted project for which this Contract work is being performed. In addition to other penalties that may be applicable, the Service Provider further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Service Provider to the extent the Federal Government deems appropriate.

- B. The Service Provider also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a Contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. § 5307, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5307(n)(1) on the Service Provider, to the extent the Federal Government deems appropriate.
- C. The Service Provider agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

45. SUSPENSION AND DEBARMENT

- E. Pursuant to Executive Order 12549, as implemented by 49 CFR Part 29, entities and individuals who are debarred or suspended by the Federal Government are excluded from obtaining Federal assistance funds under this Contract. To assure that such entities and individuals are not involved as participants on the FTA-financed Contract, each Proposer shall complete and submit, as part of its Submittal, the certification contained in the Contract Documents for itself and its principals. If the Proposer is unable to provide a positive certification, it must submit a complete explanation, attached to the certification form, of why it cannot provide the certification. Failure to submit a certification or explanation may disqualify the Proposer from participation under this Submittal. Skagit Transit, in conjunction with FTA, will consider the certification or explanation in determining award of a Contract.
- F. This certification is a material representation of fact upon which reliance is placed in determination of award of Contract. If at any time the Proposer learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances, it shall immediately provide written notice to Skagit Transit. If it is later determined that the Proposer knowingly rendered an erroneous certification, Skagit Transit may terminate the Contract for cause of default, in addition to other remedies available, including FTA suspension and/or debarment.
- G. By submitting a Proposal for this Contract, the Proposer agrees that should it be awarded the Contract, it shall not knowingly enter into any subcontract exceeding \$25,000 with an entity or person who is debarred, suspended or has been declared ineligible from obtaining Federal assistance funds. The Proposer, if awarded the Contract, shall require each Subcontractor and all lower tier Subcontractors to complete the certificate.

- H. Each subcontract, regardless of tier, shall contain a provision that the Subcontractor shall not knowingly enter into any lower tier subcontract with a person or entity who is debarred, suspended or declared ineligible from obtaining Federal assistance funds. The Service Provider shall require that each Subcontractor regardless of tier, immediately provide written notice to the Service Provider if at any time the Subcontractor learns that a lower-tier certification was erroneous when submitted or has become erroneous by reason of changed circumstances. The Service Provider may rely upon the certifications of the Subcontractor unless it knows that the certification is erroneous. The Service Provider's knowledge and information regarding any Subcontractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business.

46. PRIVACY ACT

- A. The Service Provider agrees to comply with, and assures the compliance of its employees with, the information restrictions and other applicable requirements of the Privacy Act of 1974, 5 U.S.C. § 552a. Among other things, the Service Provider agrees to obtain the express consent of the Federal Government before the Service Provider or its employees operate a system of records on behalf of the Federal Government. The Service Provider understands that the requirements of the Privacy Act, including the civil and criminal penalties for violation of that Act, apply to those individuals involved, and that failure to comply with the terms of the Privacy Act may result in termination of the underlying Contract.
- B. The Service Provider also agrees to include these requirements in each subcontract to administer any system of records on behalf of the Federal Government financed in whole or in part with Federal assistance provided by FTA.

47. FEDERAL CIVIL RIGHTS REQUIREMENTS

In addition to Skagit Transit nondiscrimination requirements set forth in other Sections in this Contract, the following Federal requirements apply to the Service Provider's performance under this Contract:

- A. Nondiscrimination - In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132, and Federal Skagit Transit law at 49 U.S.C. § 5332, the Service Provider agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the Service Provider agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
- B. Equal Employment Opportunity - The following equal employment opportunity requirements apply to the underlying Contract:
- i. *Race, Color, Creed, National Origin, Sex* - In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, and Federal Skagit Transit laws at 49 U.S.C. § 5332, the Service Provider agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL)

regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. Parts 60 et seq ., (which implement Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Project. The Service Provider agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Service Provider agrees to comply with any implementing requirements FTA may issue.

- ii. *Age* - In accordance with section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 U.S.C. § § 623 and Federal Skagit Transit law at 49 U.S.C. § 5332, the Service Provider agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Service Provider agrees to comply with any implementing requirements FTA may issue.
- iii. *Disabilities* - In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, the Service Provider agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 C.F.R. Part 1630, pertaining to employment of persons with disabilities. In addition, the Service Provider agrees to comply with any implementing requirements FTA may issue.

C. The Service Provider also agrees to include these requirements in each subcontract financed in whole or in part with Federal assistance provided by FTA, modified only if necessary to identify the affected parties.

48. BREACHES AND DISPUTE RESOLUTION

- A. Except as otherwise provided in the Contract, the Service Provider must notify Skagit Transit in writing within thirty (30) calendar days of any dispute arising under the Contract which is not disposed of by agreement.
- B. All disputes shall be decided by the Executive Director of Skagit Transit who shall indicate his/her decision in writing and mail or otherwise furnish a copy thereof to the Service Provider. The decisions of the Executive Director shall be final and conclusive, unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary, so grossly erroneous as necessarily to imply bad faith, or not supported by substantial evidence.

- C. In connection with any appeal proceeding under this clause, the Service Provider shall be afforded an opportunity to be heard within thirty (30) days of the decision, and to offer evidence in support of their appeal. Pending final decisions of a dispute hereunder, the Service Provider shall proceed diligently with the performance of the Contract in accord with the Executive Director's decision, and such continued performance does not in any manner imply acceptance of our agreement with that decision by the Service Provider, nor does it infringe upon the Service Provider's right to appeal that decision. This clause does not preclude consideration of law questions in connection with decisions provided for in the above paragraph, provided that nothing in this Contract shall be construed as making final decision of any administrative official, representative, or board on a question of law.

49. DISADVANTAGED BUSINESS ENTERPRISES

- A. This Contract is subject to the requirements of Title 49, Code of Federal Regulations, Part 26, Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs. The national goal for participation of Disadvantaged Business Enterprises (DBE) is 10%. A separate Contract goal has not been established for this procurement.
- B. The Service Provider, sub-recipient, or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. The Service Provider shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of this DOT-assisted Contract. Failure by the Service Provider to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as Skagit Transit deems appropriate which may include, but is not limited to (1) Withholding monthly progress payments; (2) Assessing sanctions; (3) Liquidated damages; and/or (4) Disqualifying the Service Provider/ Contractor from future bidding as nonresponsible. Each subcontract the Service Provider signs with a subcontractor must include the assurance in this paragraph (see 49 CFR 26.13(b)).
- C. Prompt Payment: The Service Provider is required to pay its subcontractors performing work related to this Contract for satisfactory performance of that work no later than thirty (30) days after the Service Provider's receipt of payment for that work from Skagit Transit.
- D. The Service Provider must promptly notify Skagit Transit, whenever a DBE subcontractor performing work related to this Contract is terminated or fails to complete its work, and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The Contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of Skagit Transit.

50. ADA ACCESS AND OTHER FEDERAL REQUIREMENTS

The Service Provider is also required to comply with all applicable requirements of the Americans with Disabilities Act of 1990 (ADA), 42 USC §§ 12101, et seq.; Section 504 of the Rehabilitation Act of 1973, as amended, 29 USC § 794; and 49 USC § 5301(d), and the following regulations and any amendments thereto:

U.S. Department of Transportation regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37;

U.S. Department of Transportation regulations, "Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49 CFR Part 27;

U.S. Department of Justice (DOJ) regulations, "Nondiscrimination on the Basis of Disability in State and Local federal government Services," 28 CFR Part 35;

DOJ regulations, "Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities," 28 CFR Part 36;

U.S. General Services Administration regulations, "Accommodations for the Physically Handicapped," 41 CFR Subpart 101-19;

U.S. Equal Employment Opportunity Commission (EEOC) "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 CFR Part 1630;

U.S. Federal Communications Commission regulations, "Telecommunications Relay Services and Related Customer Premises Equipment for the Hearing and Speech Disabled," 47 CFR Part 64, Subpart F; and

FTA regulations, "Transportation for Elderly and Handicapped Persons," 49 CFR Part 609.

Any implementing requirements that the FTA may issue.

51. EXCLUSIONARY OR DISCRIMINATORY SPECIFICATIONS

A part from inconsistent requirements imposed by Federal statute or regulations, the Service Provider agrees that it will comply with the requirement of 49 U.S.C. § 5323(h)(2) by refraining from using any Federal assistance awarded by FTA to support procurements using exclusionary or discriminatory specifications.

52. VETERANS PREFERENCE

To the extent practicable, Contractor and its subcontractors shall give a hiring preference to Veterans (as defined in Section 2108 of Title 5 (5 U.S.C. 2108)) who have the requisite skills and abilities to perform the construction work required under the Contract. This subsection shall not be understood, construed, or enforced in any manner that would require an

employer to give a preference to any Veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability, or a former employee.

53. JURISDICTION

- A. This Contract has been and shall be construed as having been made and delivered within the State of Washington and it is agreed by each party hereto that this Contract shall be governed by laws of the State of Washington, both as to interpretation and performance.
- B. Any action of law, suit in equity, or judicial proceeding for the enforcement of this Contract or any provisions thereof shall be instituted and maintained only in any of the courts of competent jurisdiction in Thurston County, Washington.

53. SEVERABILITY

- A. It is understood and agreed by the parties that if any part, term or provision of this Contract is held by the courts to be illegal, the validity of the remaining provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if the Contract did not contain the particular provision held to be invalid.
- B. If it should appear that any provision of this Contract is in conflict with any statutory provision of the State of Washington, said provision which may conflict therewith shall be deemed inoperative and null and void insofar as it may be in conflict therewith, and shall be deemed modified to conform to such statutory provision.

54. ORDER OF PRECEDENCE

Each of the exhibits listed below is hereby incorporated into this Contract. In the event of an inconsistency in this Contract, the inconsistency shall be resolved by giving precedence in the following order:

- 1. Applicable federal and state of Washington statutes and regulations;
- 2. Terms and conditions as contained in this basic Contract instrument, and any change orders or amendments;
- 3. Exhibit A – Statement of Work
- 4. Exhibit B – Contractor's Rate Schedule and Fees
- 5. Exhibit C – Request for Qualifications No. 19-013F, released _____, 2019
- 6. Exhibit D – Contractor's Response to RFQ No. 19-013F, dated _____
- 7. Any other provision, term or material incorporated herein by reference or otherwise incorporated

55. ENTIRE CONTRACT

The parties agree that this Contract is the complete expression of the terms hereto and any oral representations or understandings not incorporated herein are excluded. Failure to comply with any of the provisions stated herein shall constitute material breach of Contract and cause for termination. Both parties recognize time is of the essence in the performance of the provisions of this Contract. It is also agreed by the parties that the forgiveness of the nonperformance of any provision of this Contract does not constitute a waiver of the provisions of this Contract.

IN WITNESS WHEREOF, the parties hereto have caused this Contract to be executed the day and year first hereinabove written.

SKAGIT TRANSIT:

SKAGIT TRANSIT
600 County Shop Lane
Burlington, WA 98233

SERVICE PROVIDER:

SERVICE PROVIDER
Address
City, State, Zip

By: Dale O'Brien
Executive Direct

Approved as to form:

Attorney for Skagit Transit

By: Authorized Representative
Its: Title